

# A Differential View of Network Capacity

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International Zurich Seminar - February 2018

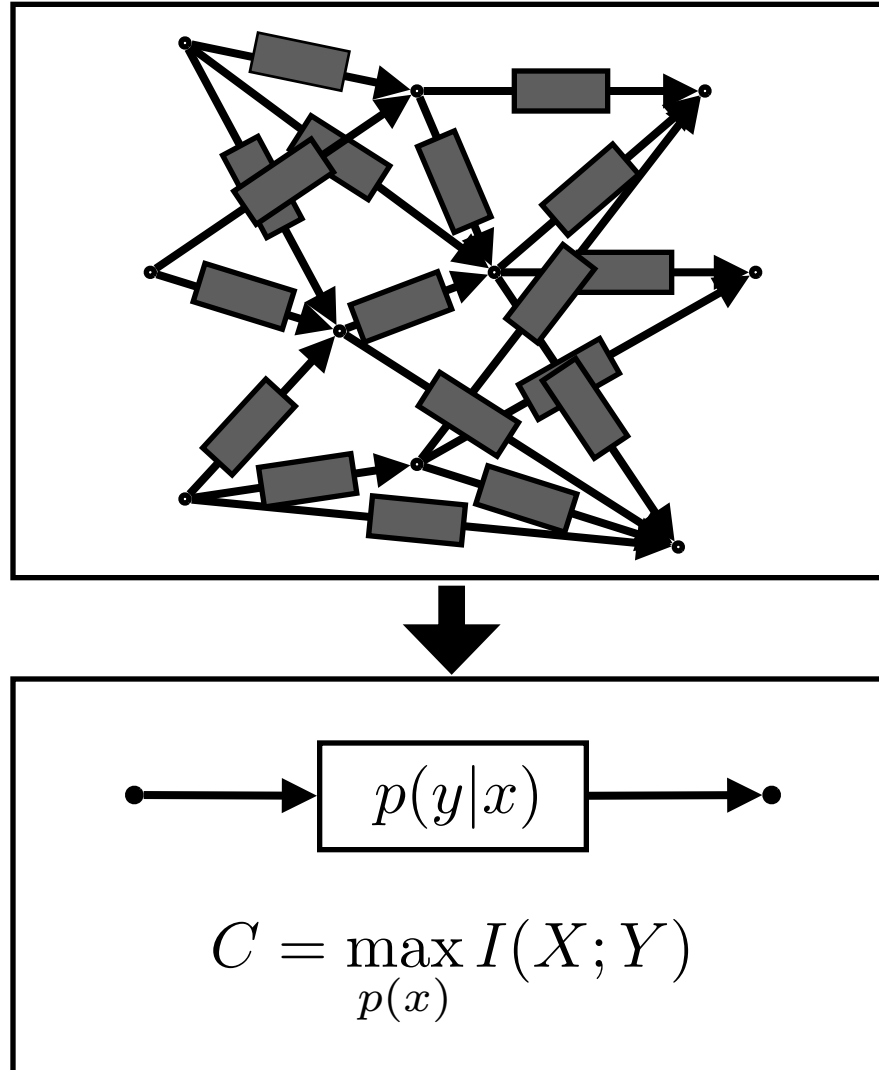


**Michelle Effros**

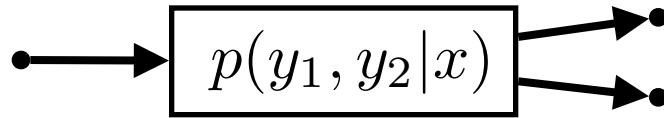
California Institute of Technology

This work supported in part by NSF grant #1527524.

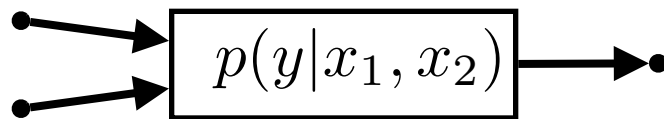
# Shannon took an “elementary” approach to network capacity.



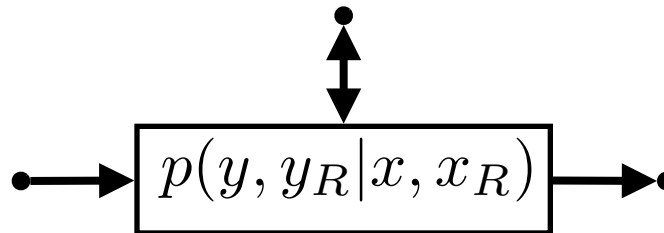
**To a large extent,  
the elementary approach persists in the literature.**



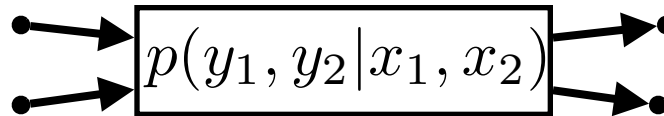
$$\mathcal{C} \subseteq \overline{\{(R_1, R_2) : \exists p(x, u) \text{ s.t.} \\ R_1 < I(X; Y_1 | U), R_2 < I(U; Y_2)\}}$$



$$\mathcal{C} = \overline{\{(R_1, R_2) : \exists p(x_1)p(x_2) \text{ s.t.} \\ R_1 < I(X_1; Y | X_2), R_2 < I(X_2; Y | X_1) \\ R_1 + R_2 < I(X_1, X_2; Y)\}}$$



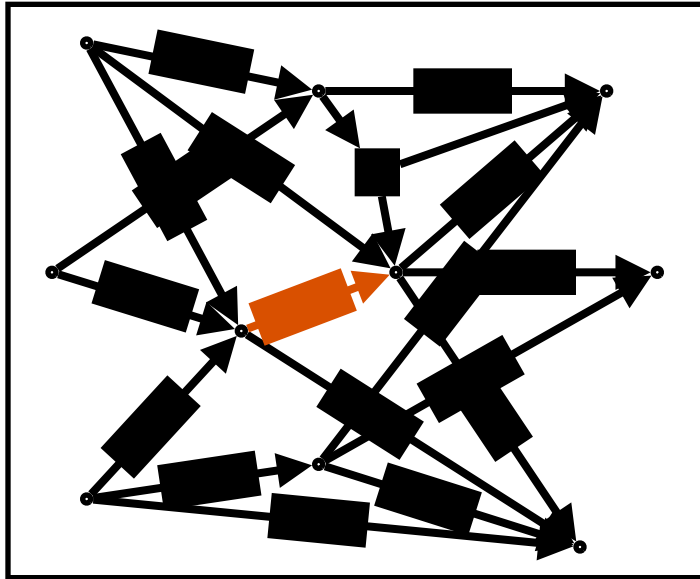
$$\mathcal{C} \subseteq \overline{\{R : \exists p(x, x_R) \text{ s.t.} \\ R < \min\{I(X; Y_R | X_R), I(X, X_R; Y)\}\}}$$



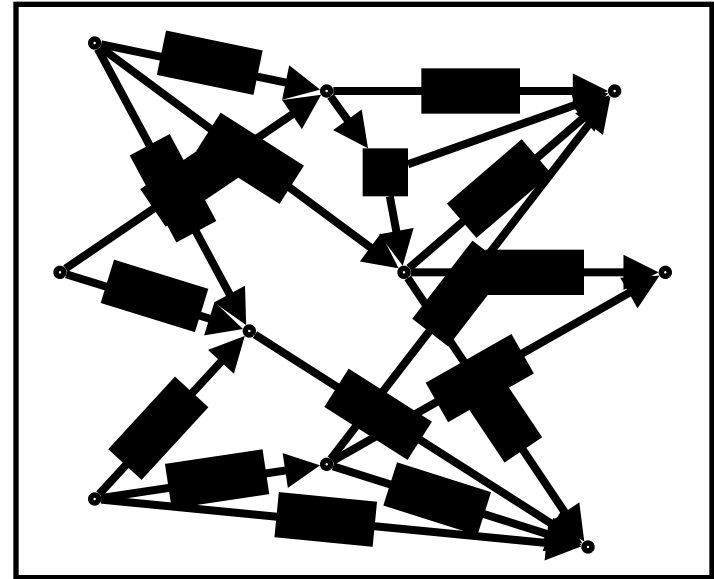
⋮

⋮

We consider a **differential** approach.



$\mathcal{N} + \mathcal{C}$

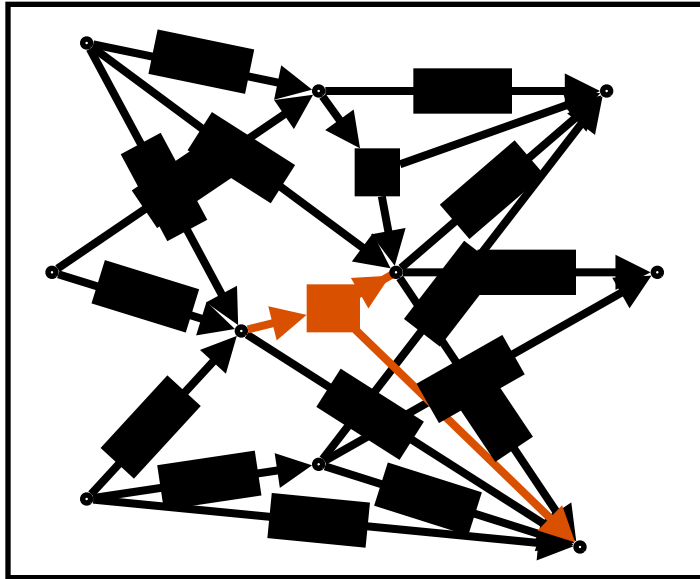


$\mathcal{N}$

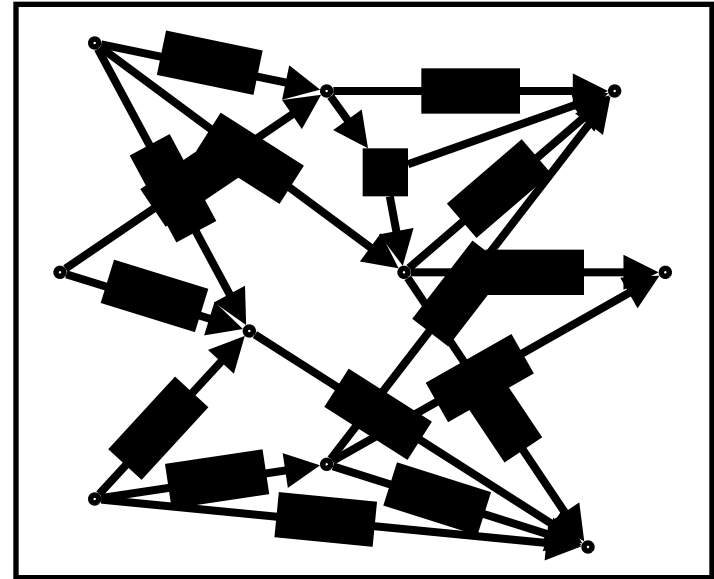
Find the smallest set  $\Delta(\mathcal{C})$  s.t.  $\forall \mathcal{N}$

$$\text{Capacity}(\mathcal{N} + \mathcal{C}) \subseteq \text{Capacity}(\mathcal{N}) + \Delta(\mathcal{C})$$

The differential approach is applicable  
for **any channel**  $\mathcal{C}$ .



$\mathcal{N} + \mathcal{C}$

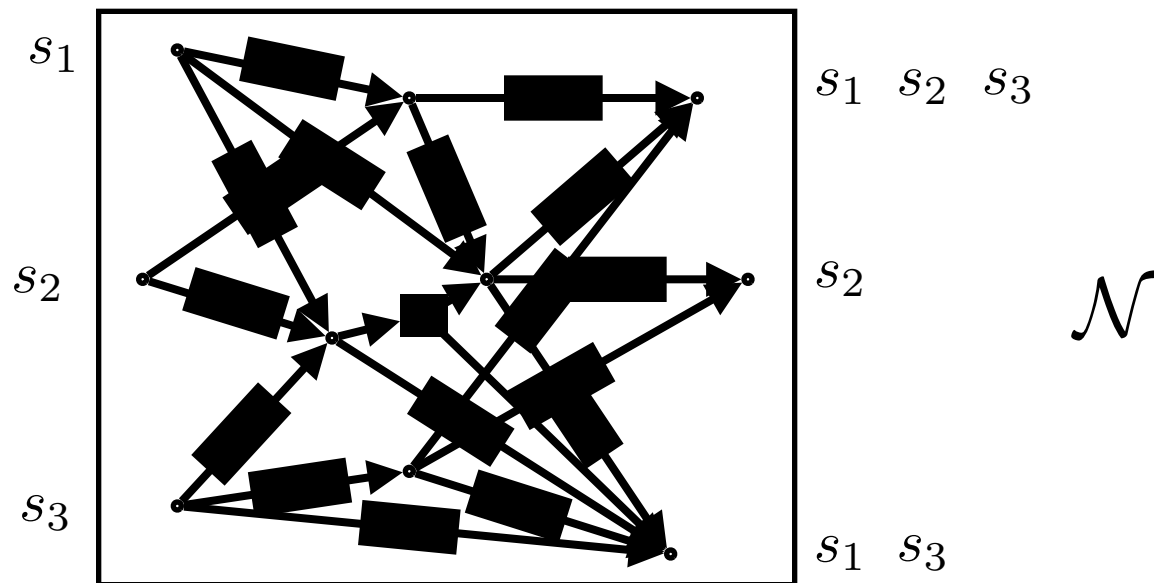


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Find the smallest set  $\Delta(\mathcal{C})$  s.t.  $\forall \mathcal{N}$

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# CAPACITY



$\mathcal{N} = (p, S, D) : \text{Network}$

$p = \text{Memoryless channel (noisy or noiseless)}$

$S = \{s_1, \dots, s_k\} : s_i = \text{origin of source } i$

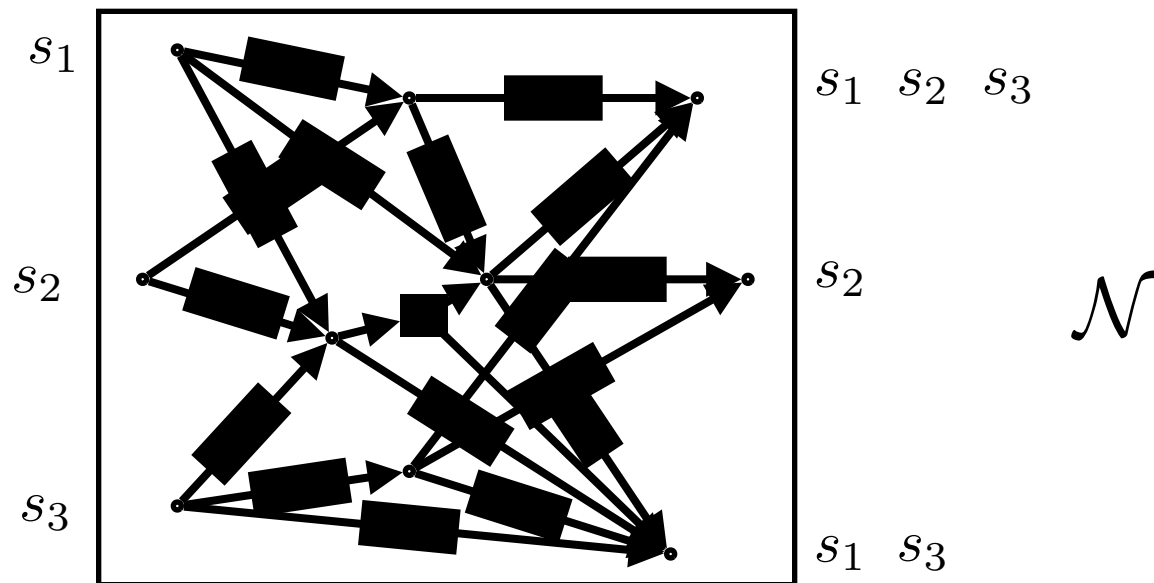
Messages  $W_1, \dots, W_k$  indep, uniform

$D = \{D(s) : s \in S\} : \text{demand set}$

$D(s) = \text{nodes that demand the source from node } s$

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# CAPACITY



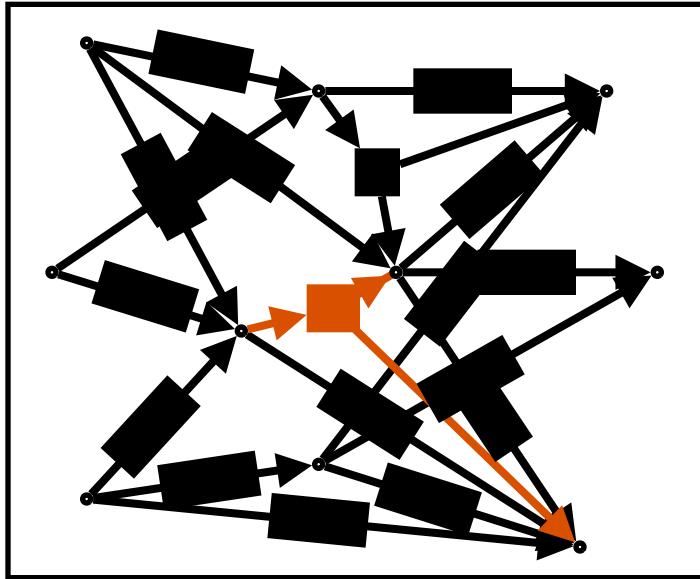
$(R_1, \dots, R_k)$  achievable

$\Leftrightarrow \exists$  sequence of  $((2^{nR_1}, \dots, 2^{nR_k}), n)$  codes  
with  $P_e^{(n)} \rightarrow 0$  as  $n \rightarrow \infty$

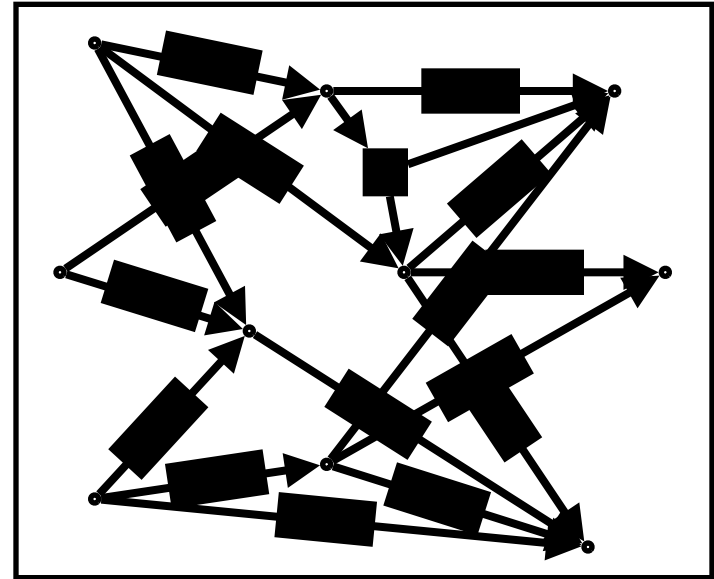
$\text{Capacity}(\mathcal{N})$

$= \overline{\{(R_1, \dots, R_k) : (R_1, \dots, R_k) \text{ achievable}\}}$

We consider a **differential** approach.



$\mathcal{N} + \mathcal{C}$



$\mathcal{N}$

Find the smallest set  $\Delta(\mathcal{C})$  s.t.  $\forall \mathcal{N}$

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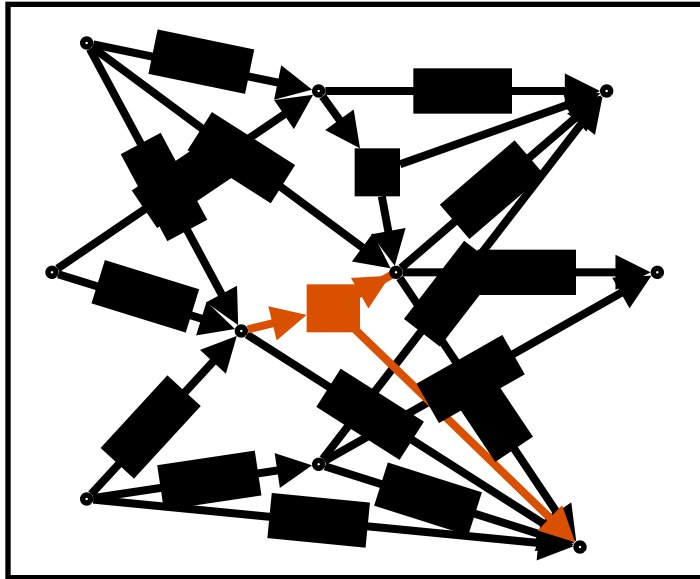


How much can we **gain** by adding a single channel?

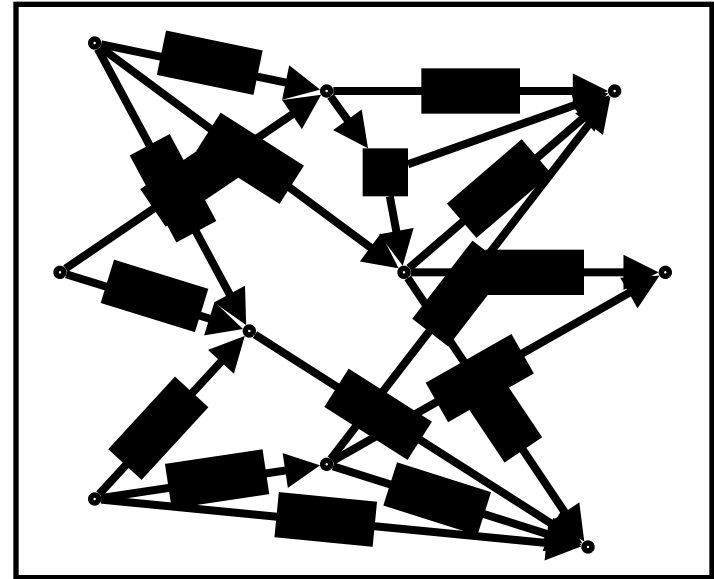
**How **vulnerable** are our networks to failures?**

**What is the impact of each channel --  
in the larger context in which it will be employed?**

How much do we need to know about a channel to understand its **differential** impact?



$\mathcal{N} + \mathcal{C}$

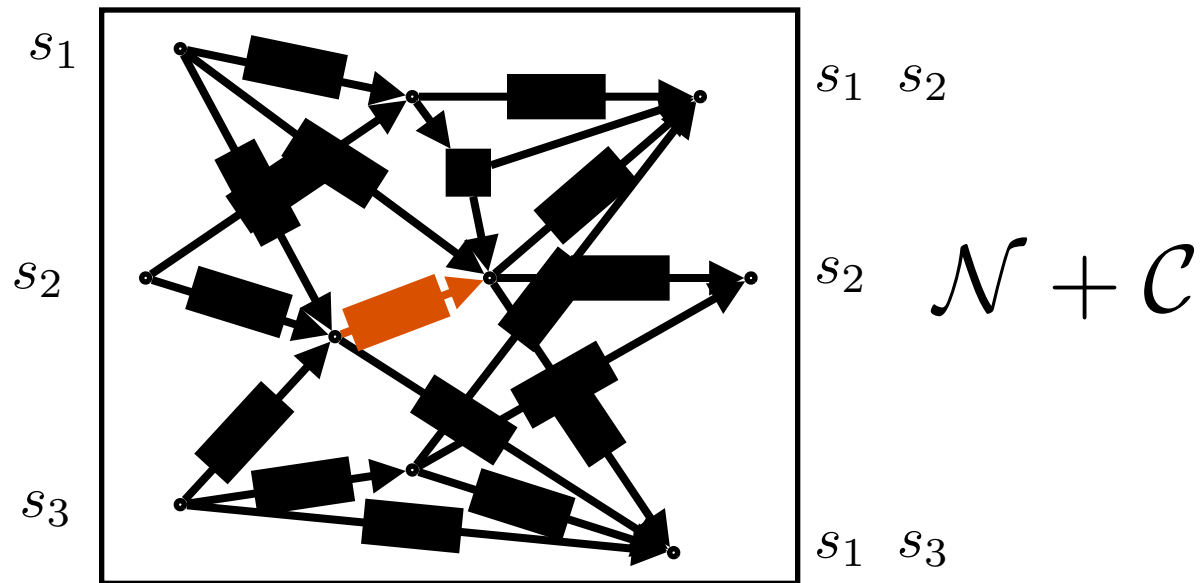


$\mathcal{N}$

Find the smallest set  $\Delta(\mathcal{C})$  s.t.  $\forall \mathcal{N}$

$$\text{Capacity}(\mathcal{N} + \mathcal{C}) \subseteq \text{Capacity}(\mathcal{N}) + \Delta(\mathcal{C})$$

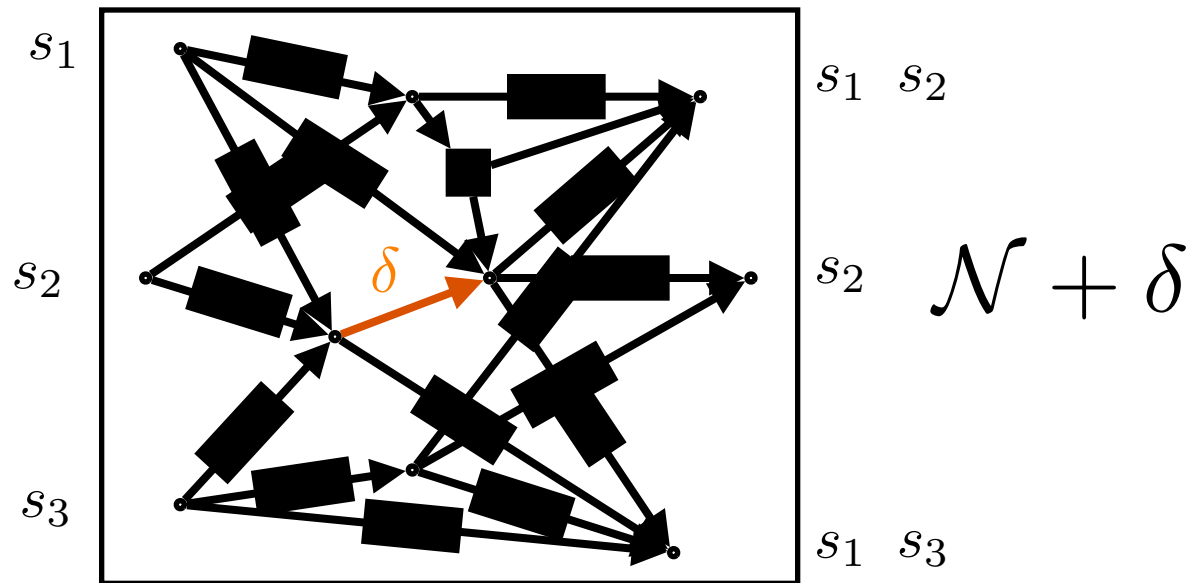
# Does a **link's capacity** determine its differential impact?



Yes! For any network  $\mathcal{N}$  and any point-to-point channels  $\mathcal{C}$  and  $\mathcal{C}'$ ,  
if  $\text{Capacity}(\mathcal{C}) = \text{Capacity}(\mathcal{C}')$   
then  $\text{Capacity}(\mathcal{N} + \mathcal{C}) = \text{Capacity}(\mathcal{N} + \mathcal{C}')$

[Koetter, Effros, Medard 2009, 2011]

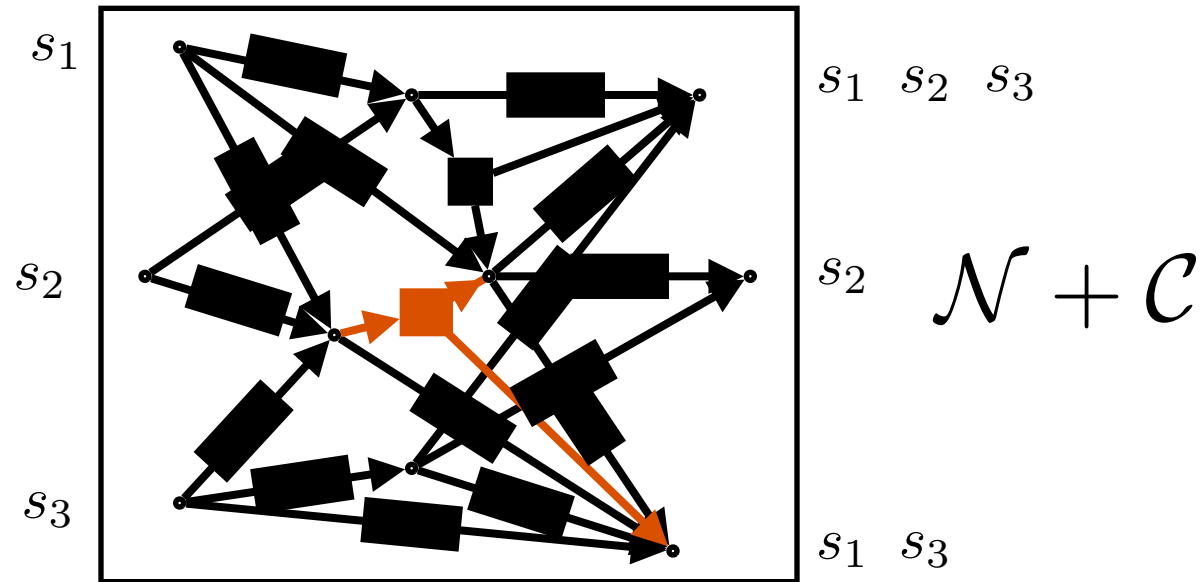
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[Koetter, Effros, Medard 2009, 2011]

# Does **channel capacity** determine differential channel impact in general?



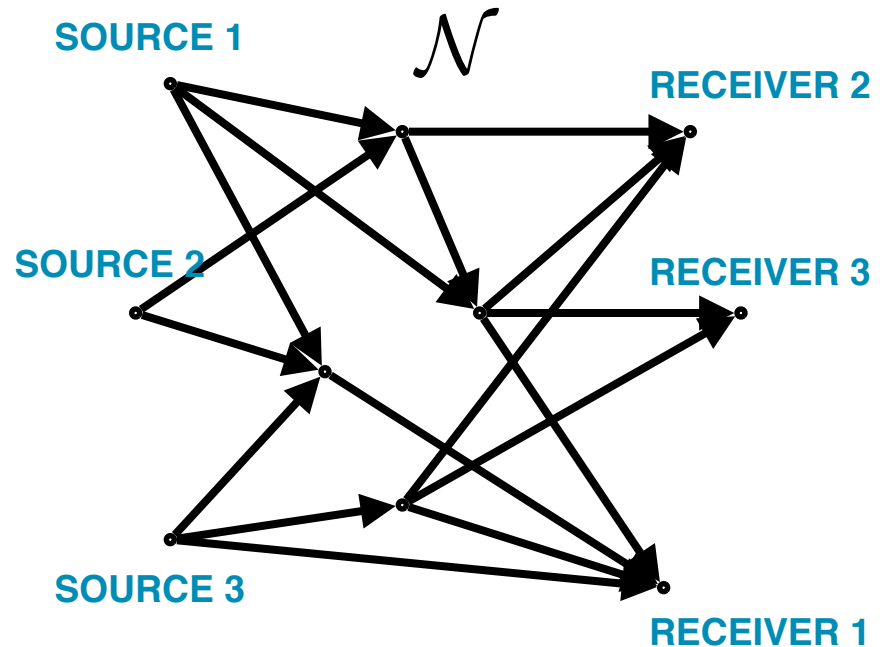
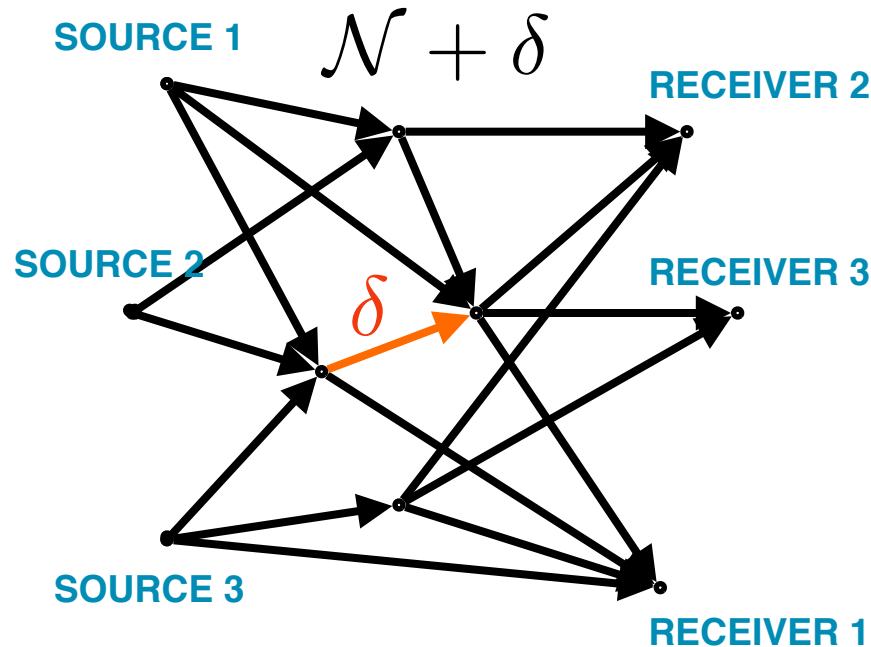
No! For any network  $\mathcal{N}$  and any channels  $\mathcal{C}$  and  $\mathcal{C}'$ ,  
 $\text{Capacity}(\mathcal{C}) = \text{Capacity}(\mathcal{C}')$   
does not imply  $\text{Capacity}(\mathcal{N} + \mathcal{C}) = \text{Capacity}(\mathcal{N} + \mathcal{C}')$ .

[Koetter, Effros, Medard 2009, 2011]

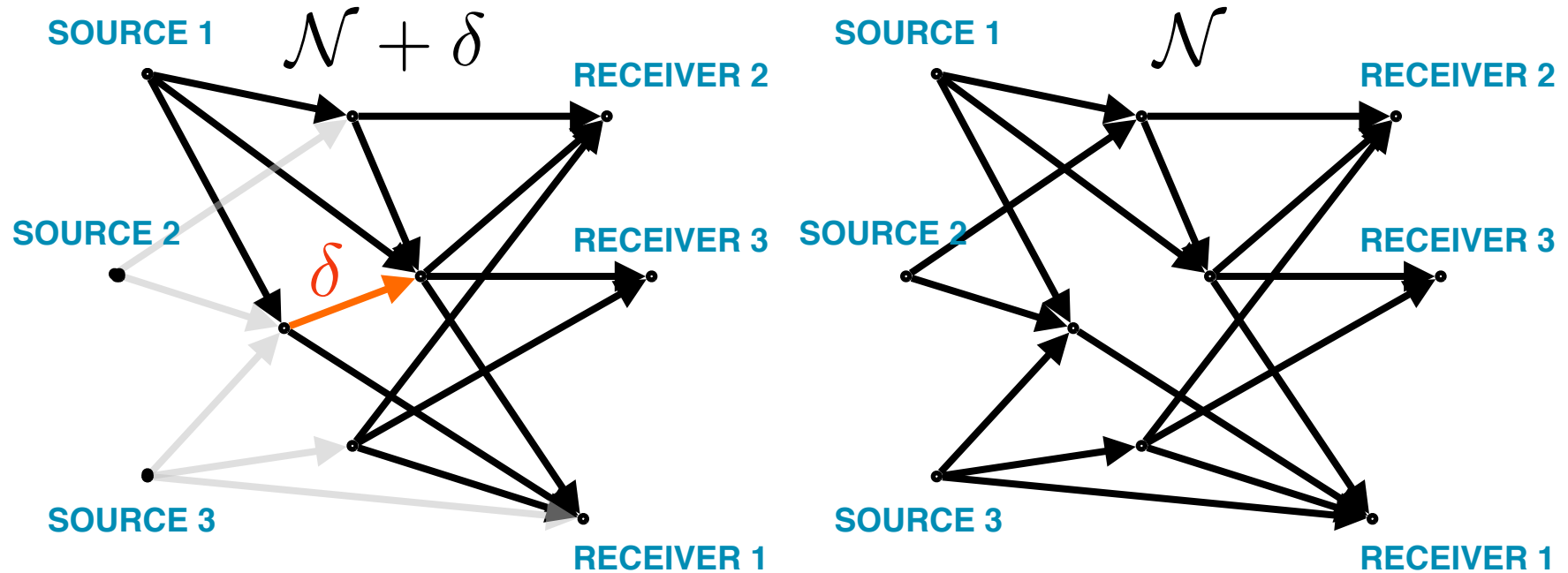
**To begin, consider the impact of  
a **wireline** link in a network of **wireline** links.**



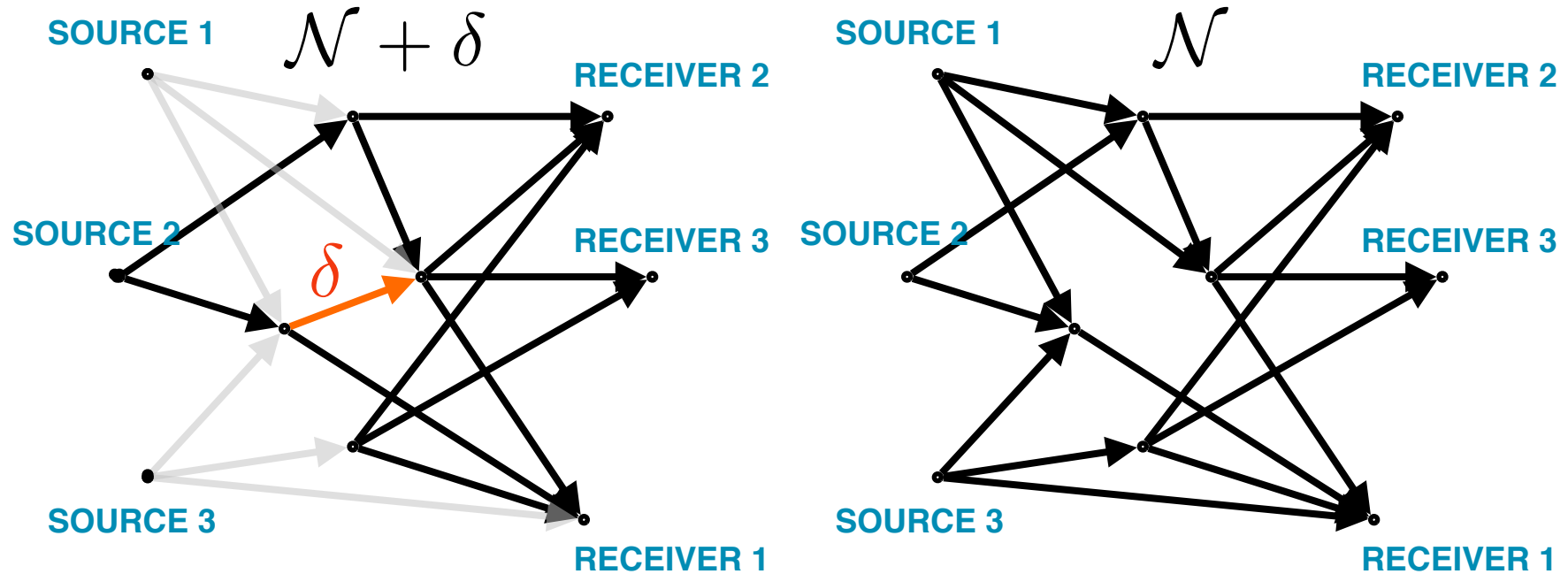
# What can we say about a link's differential impact in a **wireline network**?



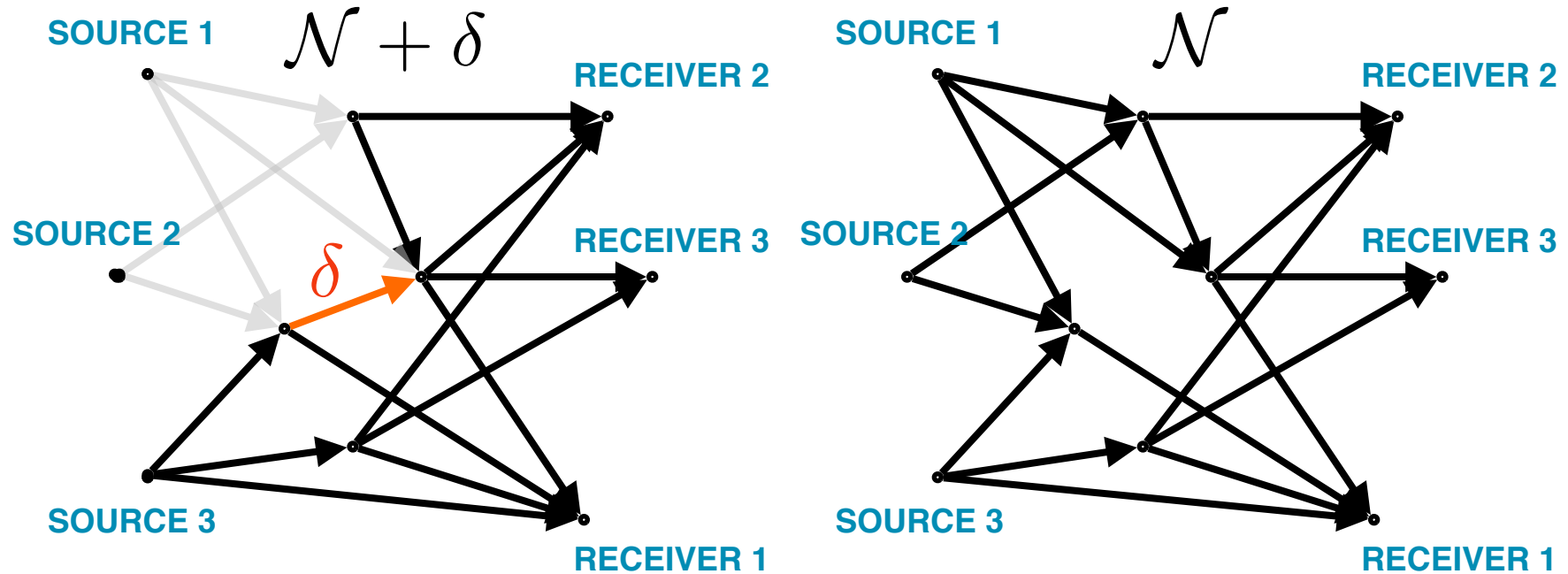
# What can we say about a link's differential impact in a **wireline network**?



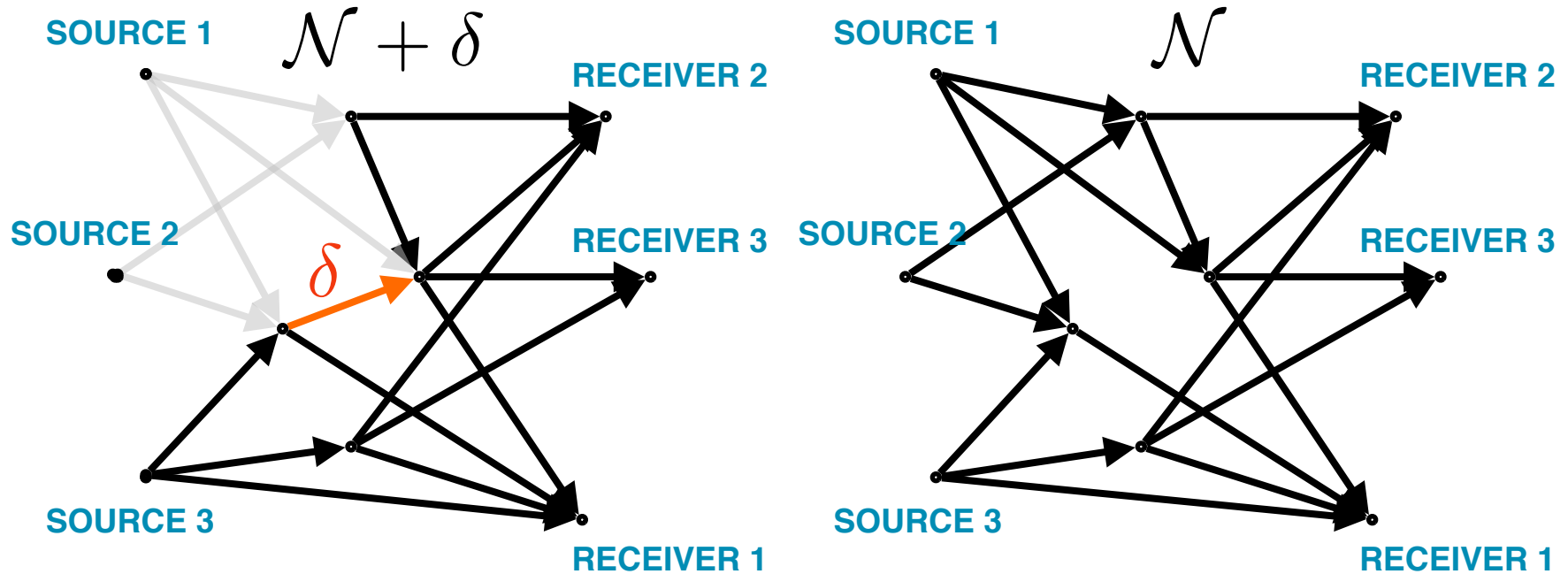
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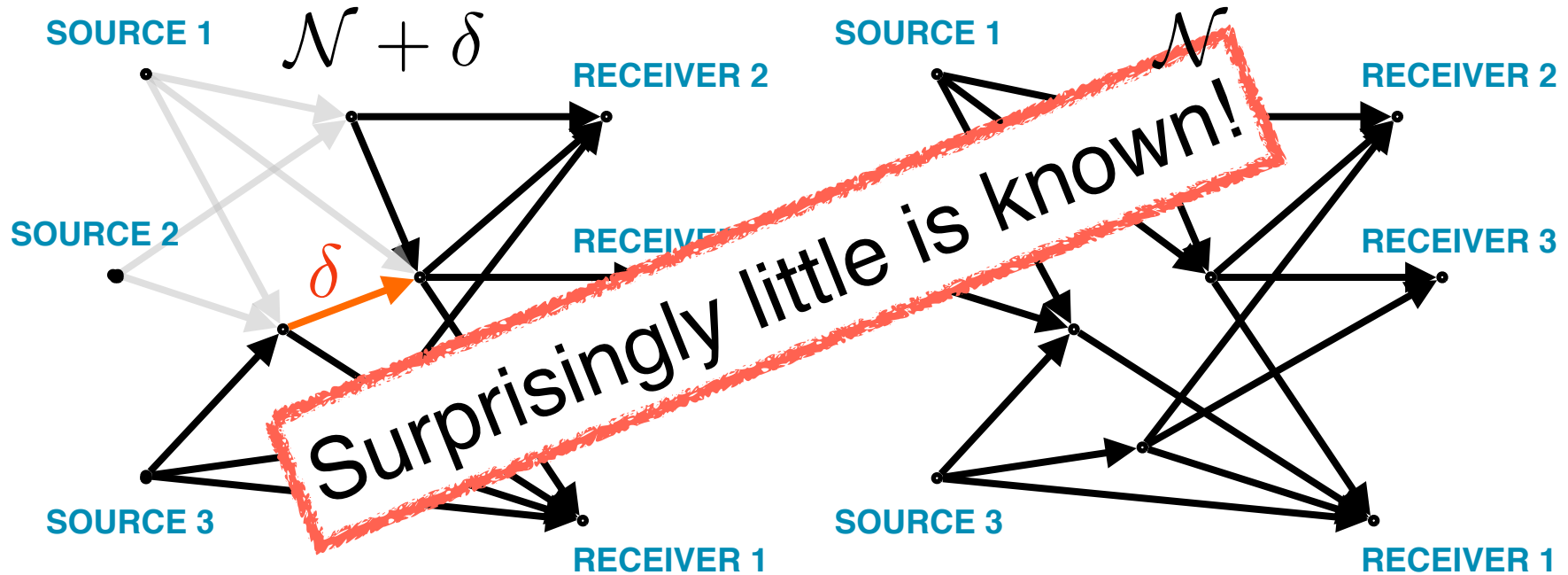


# What can we say about a link's differential impact in a **wireline network**?



Is  $\text{Capacity}(\mathcal{N} + \delta) \subseteq \text{Capacity}(\mathcal{N}) + [0, \delta]^k$ ?

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Is  $\text{Capacity}(\mathcal{N} + \delta) \subseteq \text{Capacity}(\mathcal{N}) + [0, \delta]^k$ ?

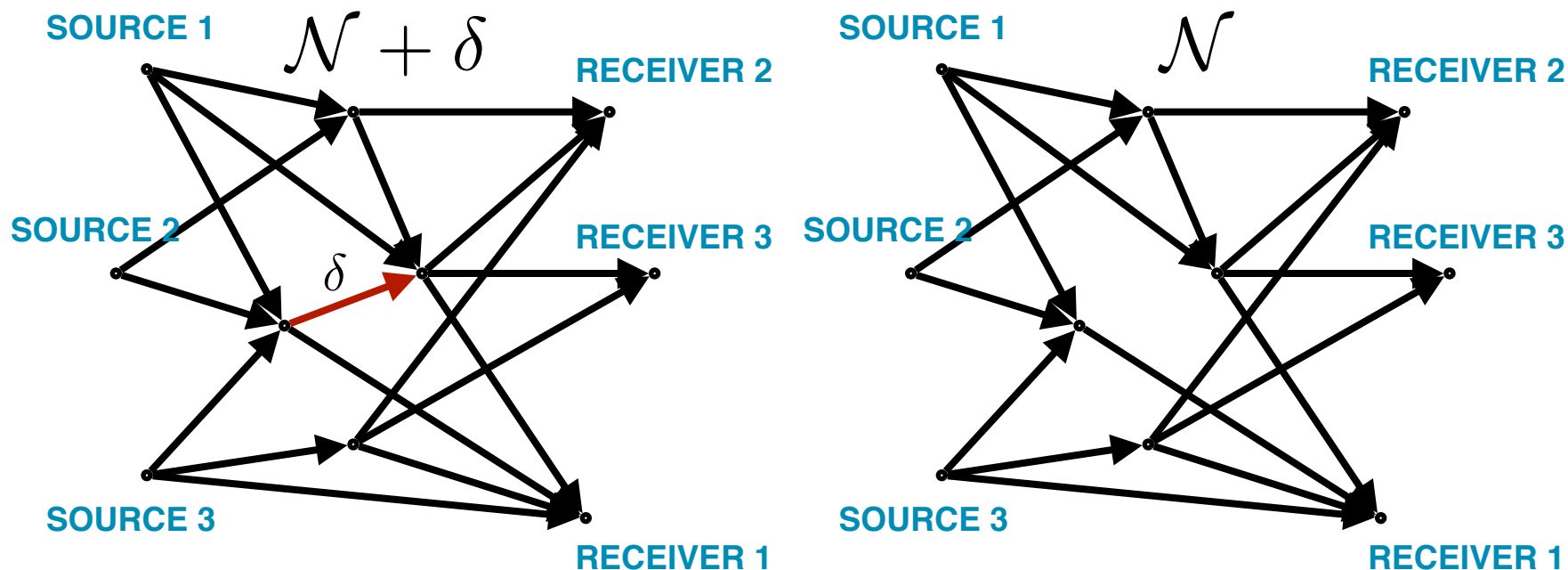
# The question remains **unsolved** in wireline networks:

[Jalali, Effros, Ho 2011, 2012, Langberg, Effros 2012, Lee, Langberg, Effros 2013]

- The impact of a link is bounded by its capacity in some networks:
  - if cut-set bounds are tight (e.g., single- & multi-source multicast)
  - for co-located sources, super-source networks, terminal edges
  - for linear codes, “separable” codes
  - in index coding.
- The same property holds in current outer bounds:
  - cut-set bounds
  - Generalized network sharing bounds [Kamath, Tse, Anantharam 2011]
  - Generalized Linear Programming (LP) bound [Yeung 1997, Song, Yeung 2003]
- No proof that this property always holds.
- No examples where this property fails.
- Equivalence to other problems (0- vs.  $\epsilon$ -error, dep srcs, NC vs. IC, ...)

# Wireline networks: Intuition

[Jalali, Effros, Ho 2011, 2012, Langberg, Effros 2012, Lee, Langberg, Effros 2013]



Only send source values that give the most common transmission across our connection.

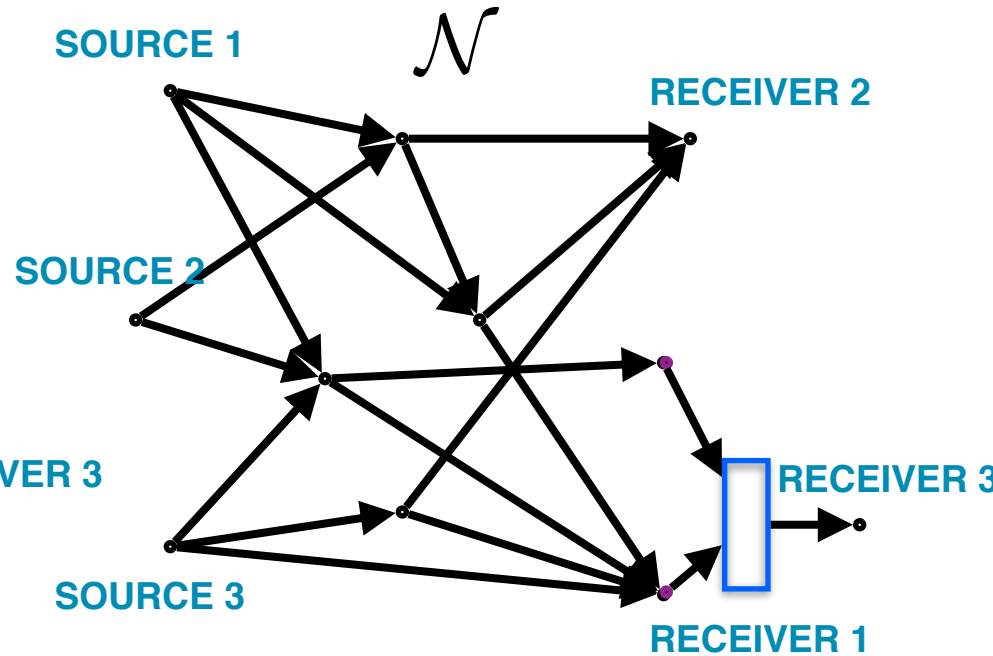
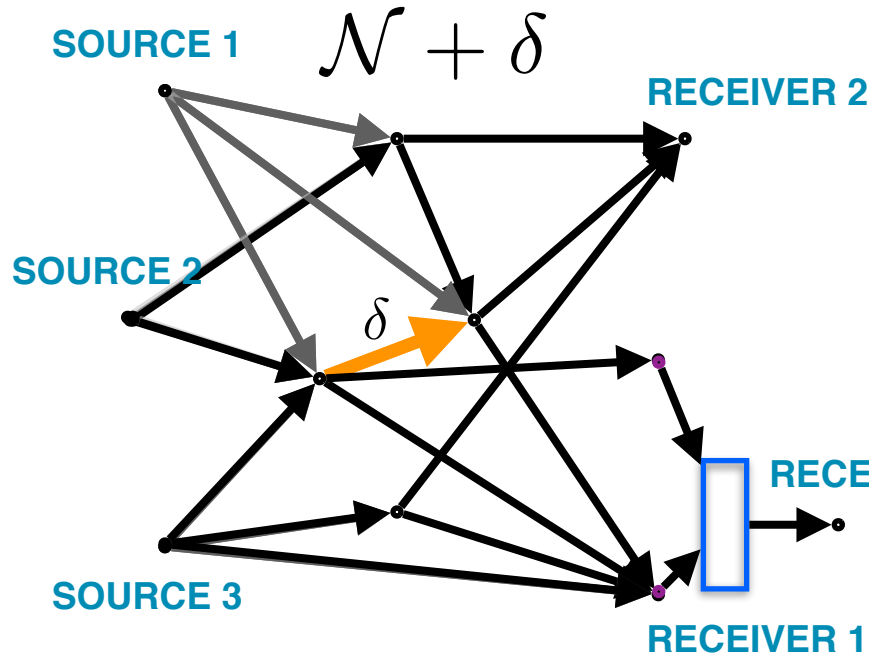
The number of such transmissions supports rate  $(R_1 - \delta, R_2 - \delta, R_3 - \delta)$

Challenge: This strategy may not always be possible.

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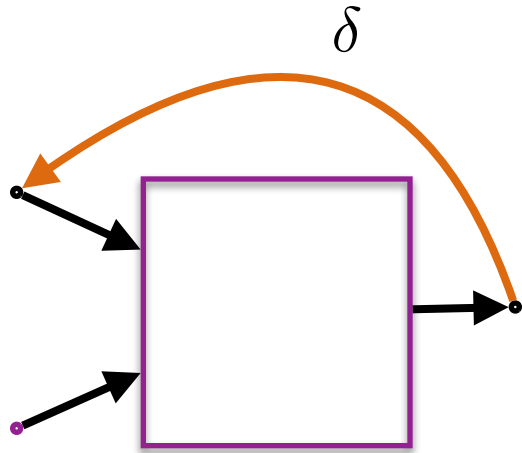


# What happens in networks containing **wireless** components?

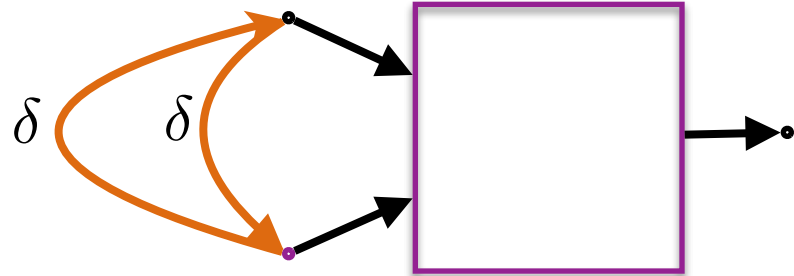


Is  $\text{Capacity}(\mathcal{N} + \delta) \subseteq \text{Capacity}(\mathcal{N}) + [0, \delta]^k$ ?

**In prior literature, the benefit of any edge was bounded by the capacity of that edge.**



[Sarwate & Gastpar 2009]



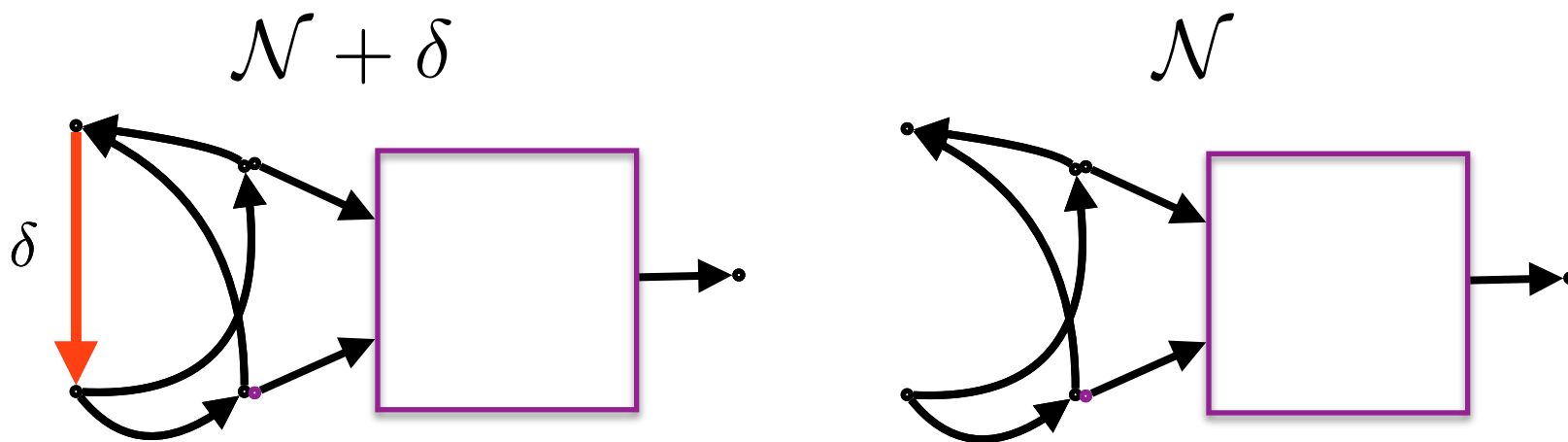
[Willems 1983]

Is  $\text{Capacity}(\mathcal{N} + \delta) \subseteq \text{Capacity}(\mathcal{N}) + [0, \delta]^k$ ?

**YES.**

For general memoryless networks,  
the benefit of an edge can **exceed** its capacity.

[Noorzad, Effros, Langberg, Ho 2014]

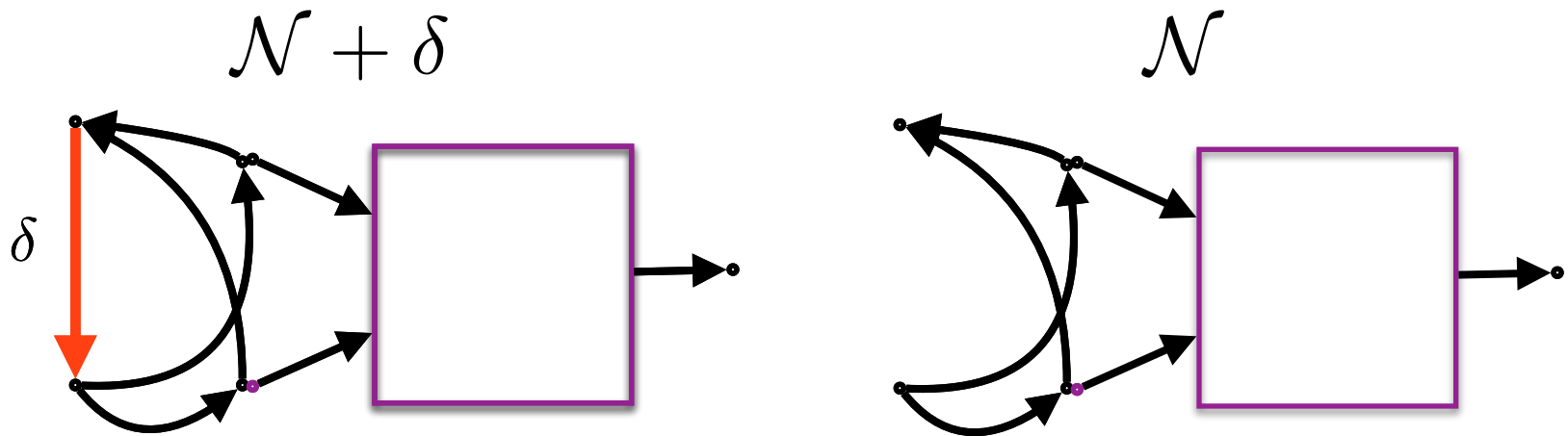


Is  $\text{Capacity}(\mathcal{N} + \delta) \subseteq \text{Capacity}(\mathcal{N}) + [0, \delta]^k$ ?

**NO.**

# By how much can the benefit of an edge exceed its capacity?

[Noorzad, Effros, Langberg, Ho 2014]



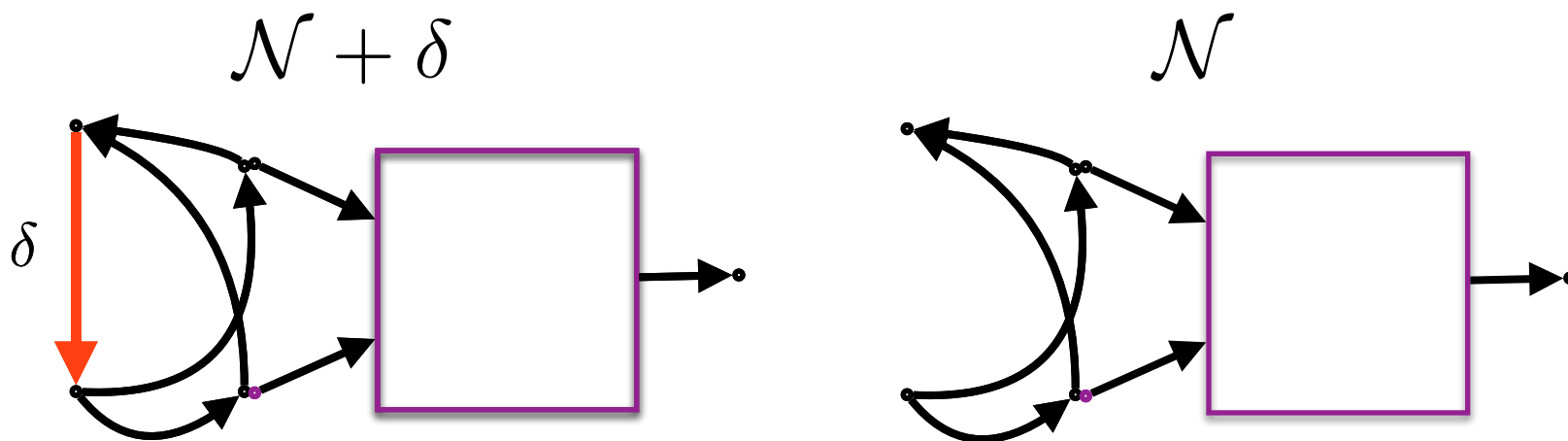
$$\text{Capacity}(\mathcal{N} + \delta) \subseteq \text{Capacity}(\mathcal{N}) + [0, f(\delta)]^k?$$

**NO!!!** (for ANY polynomial  $f$ )

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# The benefit of an edge can **FAR** exceed its capacity!

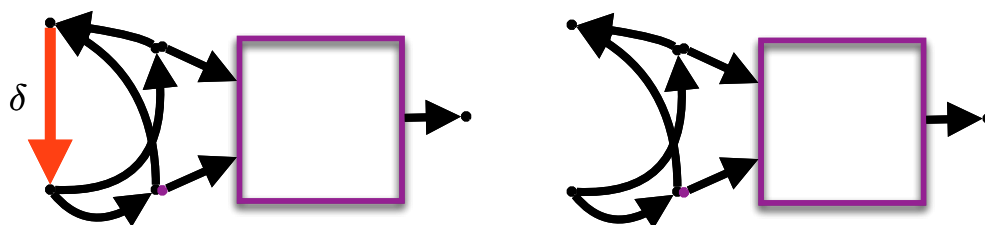
[Noorzad, Effros, Langberg, Ho 2014]



Adding a  $\delta$ -capacity link can increase the network capacity  
**ALMOST EXPONENTIALLY** in  $\delta$ .

# The benefit of an edge can **FAR** exceed its capacity!

[Noorzad, Effros, Langberg, Ho 2014]



$$\mathcal{X}_1 = \mathcal{X}_2 = \{1, \dots, M\}$$

$$\mathcal{Y} = (\mathcal{X}_1 \times \mathcal{X}_2) \cup \{E\} \text{ (} E \text{ denotes "erasure")}$$

$$G = \begin{bmatrix} g(1, 1) & g(1, 2) & \dots & g(1, M) \\ g(2, 1) & g(2, 2) & \dots & g(2, M) \\ \vdots & \vdots & \ddots & \vdots \\ g(M, 1) & g(M, 2) & \dots & g(M, M) \end{bmatrix}$$

$$p(y|x_1, x_2) = \begin{cases} 1(y = (x_1, x_2)) & \text{if } g(x_1, x_2) = 1 \\ 1(y = E) & \text{if } g(x_1, x_2) = 0 \end{cases}$$

# Proof (counter-example) [Noorzad, Effros, Langberg, Ho 2014]

$$G = \begin{bmatrix} g(1,1) & g(1,2) & \dots & g(1,M) \\ g(2,1) & g(2,2) & \dots & g(2,M) \\ \vdots & \vdots & \ddots & \vdots \\ g(M,1) & g(M,2) & \dots & g(M,M) \end{bmatrix}$$

$$p(y|x_1, x_2) = \begin{cases} 1(y = (x_1, x_2)) & \text{if } g(x_1, x_2) = 1 \\ 1(y = E) & \text{if } g(x_1, x_2) = 0 \end{cases}$$

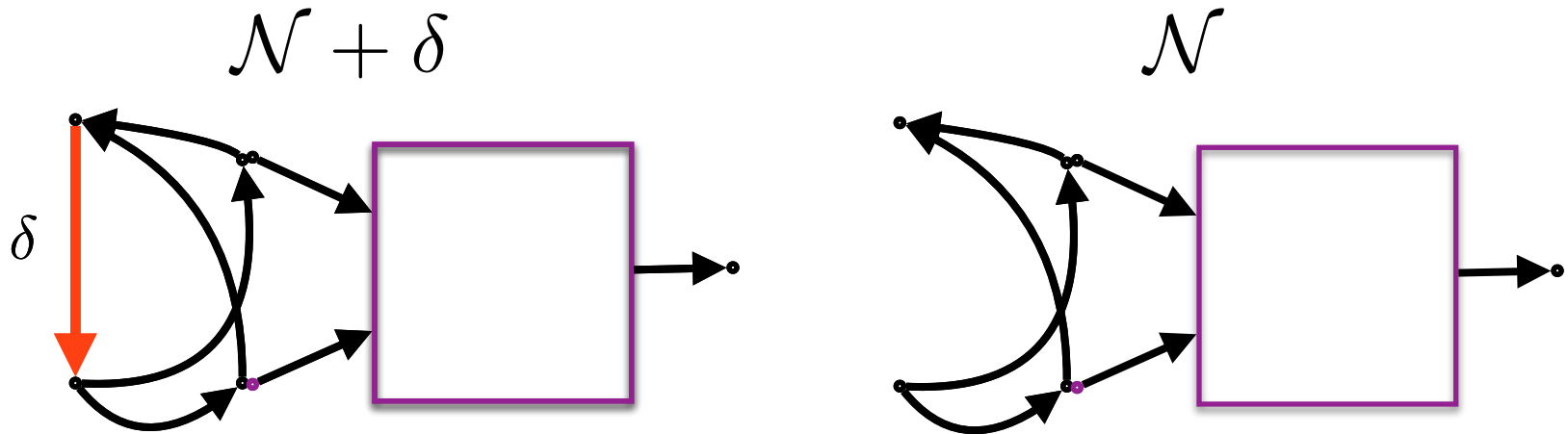
Set  $\delta = 2 \log \log M$

$\exists G$  such that:

$\exists \frac{M}{\log M \log \log M}$ -partition of  $\mathcal{X}_1$  ( $\mathcal{X}_2$ ) s.t. each “cell” contains a “1”  
Ensures  $\mathcal{C}(\mathcal{N} + \delta)$  large ( $R_1 + R_2 = 2 \log M - O(\log \log M)$ ) ach)  
Every sufficiently large sub-matrix has fraction  $\geq 1 - \epsilon$  “0”s  
Ensures  $\mathcal{C}(\mathcal{N})$  small ( $R_1 + R_2 < 1.25 \log M$ )

# The benefit of an edge can far exceed its capacity.

[Noorzad, Effros, Langberg, Ho 2014]

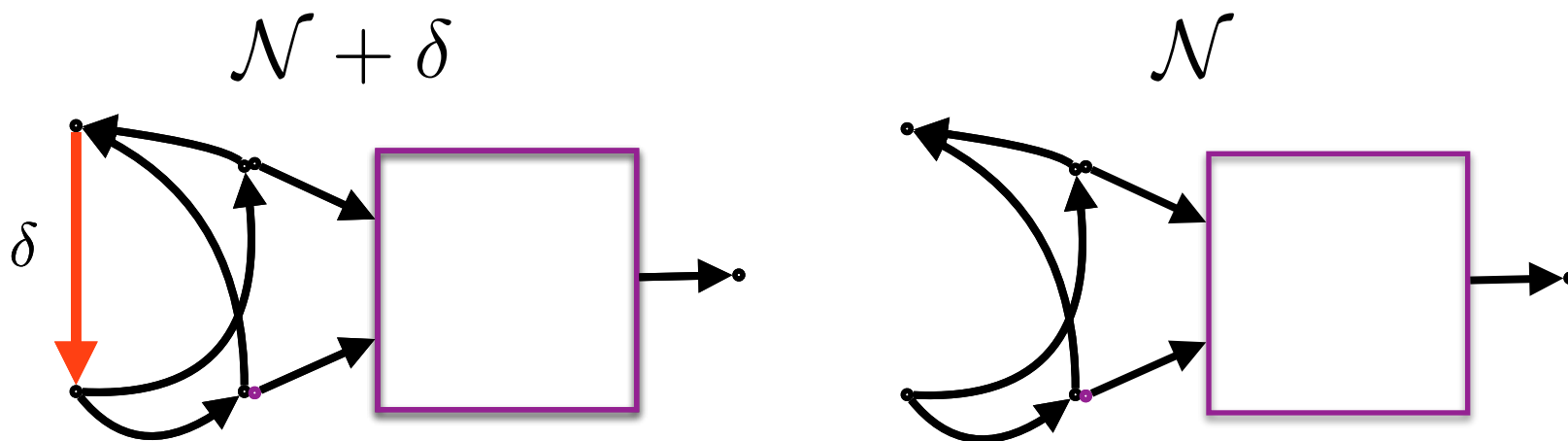


But this is an artificial example...



# What happens in more realistic networks?

[Noorzad, Effros, Langberg 2015]



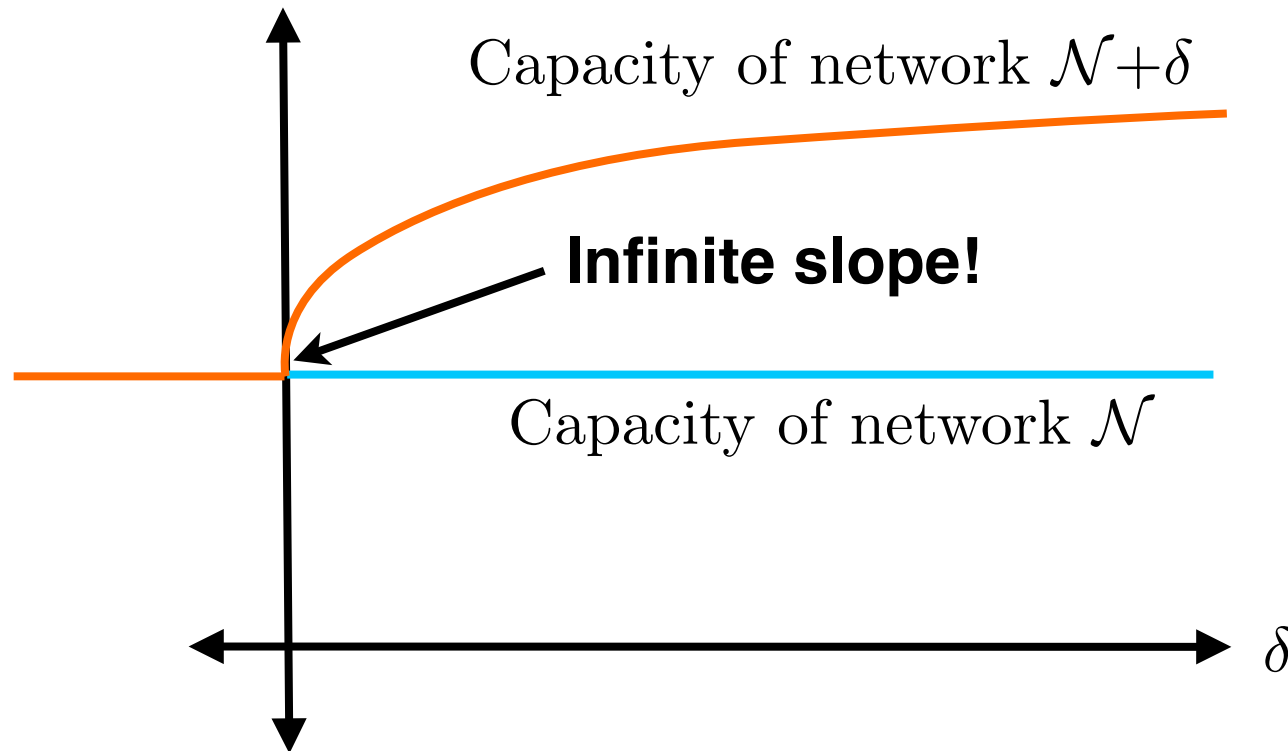
If  $C(\mathcal{N} + \delta)|_{\delta=\infty} \supsetneq C(\mathcal{N})$  AND  
 $f(\delta)$  is the smallest function for which

$$C(\mathcal{N} + \delta) \subseteq C(\mathcal{N}) + [0, f(\delta)]^k$$

$$\text{then } \frac{d}{d\delta} f(\delta)|_{\delta=0} = \infty$$

# If cooperation helps at all, then a **little** cooperation can help a **LOT!**

[Noorzad, Effros, Langberg 2015]



# Can **rate-0** cooperation ever help???

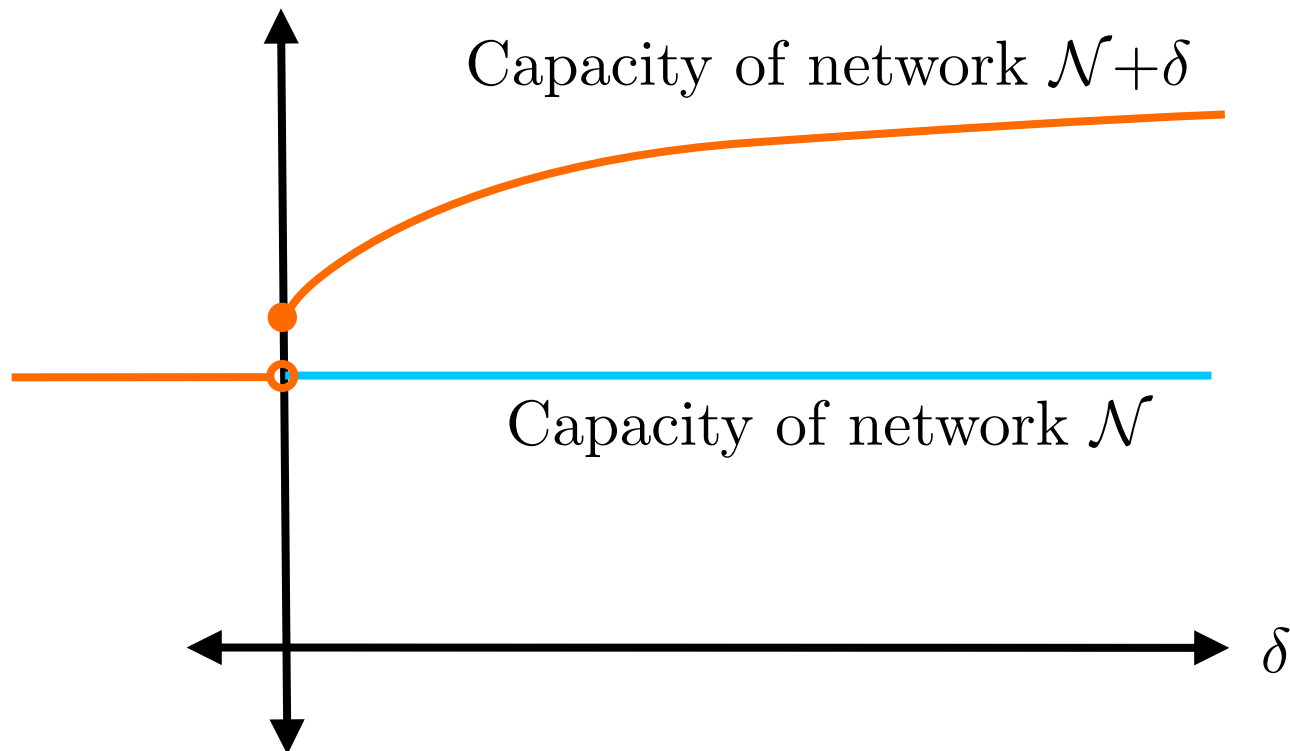
[Noorzad, Effros, Langberg 2016]

Surprisingly, at least in  
the case of **maximal-error** capacity,  
the answer is **YES!**

There exist networks where  
 $f(\delta)$   
is discontinuous at  $\delta=0$ .

# The curve can be discontinuous.\*

[Noorzad, Effros, Langberg 2016]



*\* In the max-error case.*

# Can **1 bit** of cooperation ever help?

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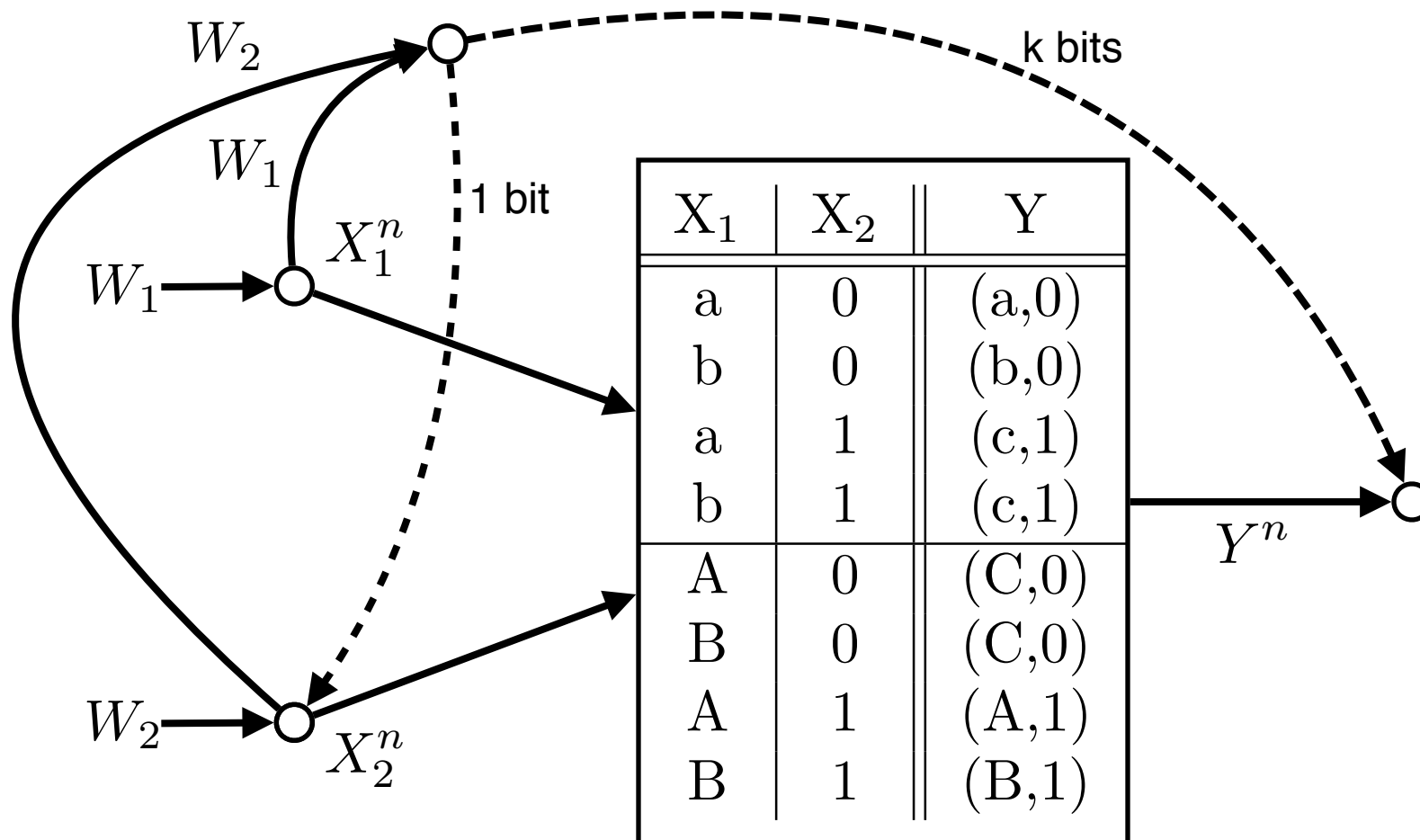
[Langberg, Effros 2016]

**YES!**

There exists a network where  
1 bit of cooperation  
changes the maximal-error network capacity!

# How can **1 bit** of cooperation help???

[Langberg, Effros 2016]



[Dueck 1978]

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# Dueck MAC:






$X_1$	$X_2$	$Y$
a	0	(a,0)
b	0	(b,0)
a	1	(c,1)
b	1	(c,1)
A	0	(C,0)
B	0	(C,0)
A	1	(A,1)
B	1	(B,1)

t	1	2	3	4	5	6	7	8
$X_{1,t}$	A	a	B	B	b	A	B	A
$X_{2,t}$	0	1	1	0	1	1	1	0
$Y_t$	(C,0)	(c,1)	(B,1)	(C,0)	(c,1)	(A,1)	(B,1)	(C,0)
$X_{1,t}$	A	a	B	B	b	A	B	A
$X'_{2,t}$	1	0	0	1	0	0	0	1
$Y_t$	(A,1)	(a,0)	(C,0)	(B,1)	(b,0)	(C,0)	(C,0)	(A,1)











# Dueck MAC:

$X_1$	$X_2$	$Y$
a	0	(a,0)
b	0	(b,0)
a	1	(c,1)
b	1	(c,1)
A	0	(C,0)
B	0	(C,0)
A	1	(A,1)
B	1	(B,1)

t	1	2	3	4	5	6	7	8
$X_{1,t}$	A	a	B	B	b	A	B	A
$X_{2,t}$	0	1	1	0	1	1	1	0
$Y_t$	(C,0)	(c,1)	(B,1)	(C,0)	(c,1)	(A,1)	(B,1)	(C,0)
								
$X_{1,t}$	A	a	B	B	b	A	B	A
$X'_{2,t}$	1	0	0	1	0	0	0	1
$Y_t$	(A,1)	(a,0)	(C,0)	(B,1)	(b,0)	(C,0)	(C,0)	(A,1)

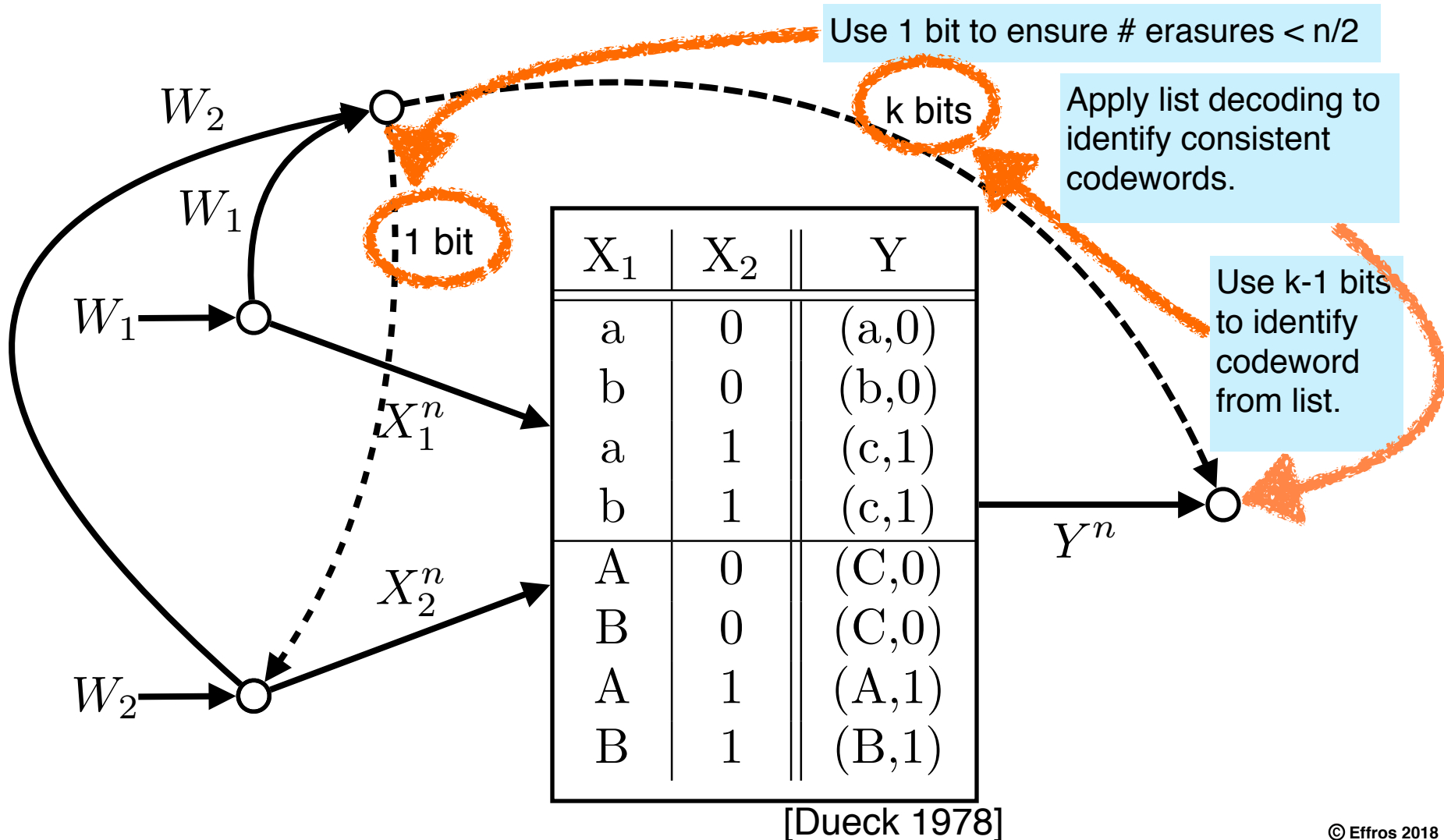
# Dueck MAC:

$X_1$	$X_2$	$Y$
a	0	(a,0)
b	0	(b,0)
a	1	(c,1)
b	1	(c,1)
A	0	(C,0)
B	0	(C,0)
A	1	(A,1)
B	1	(B,1)

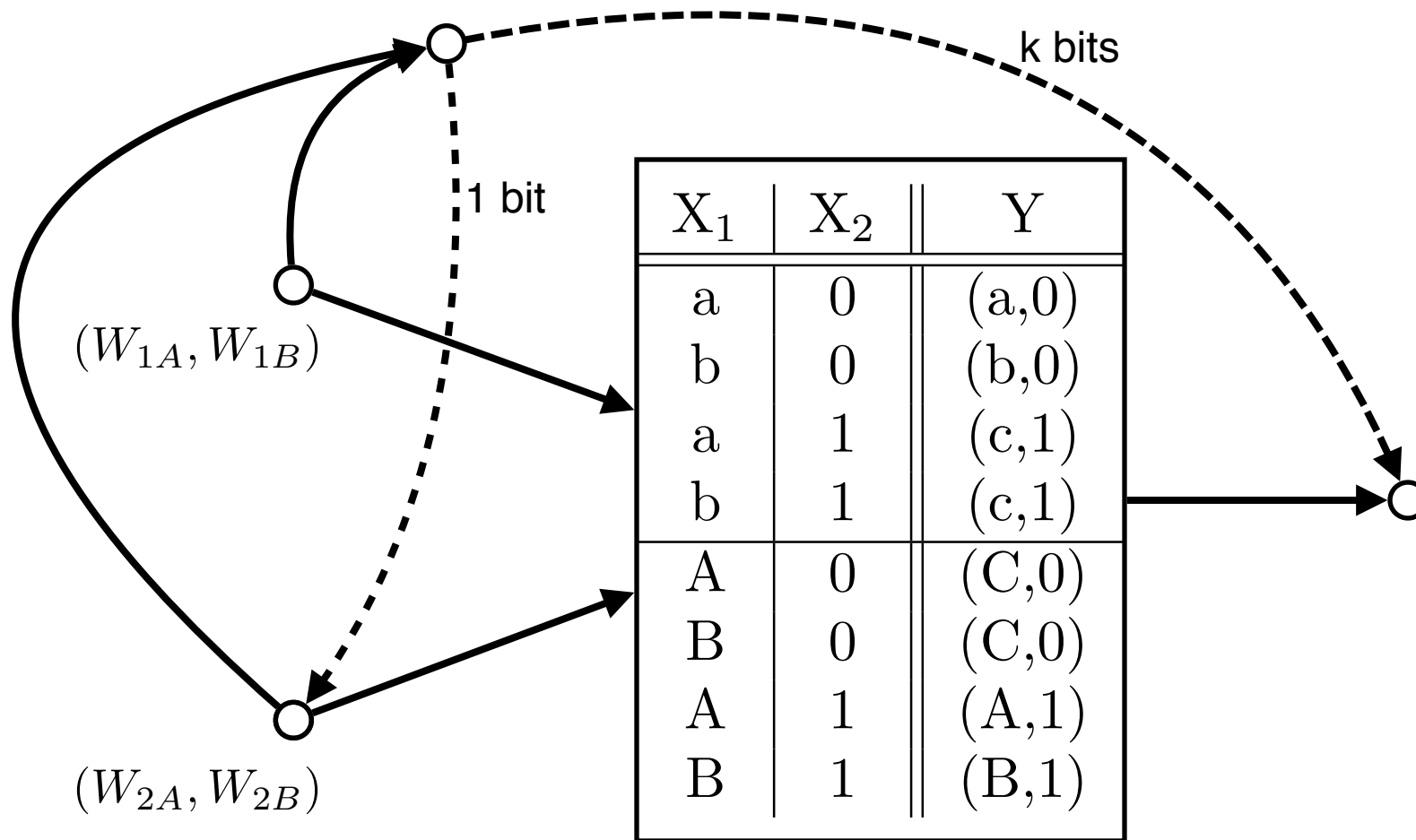
t	1	2	3	4	5	6	7	8
$X_{1,t}$	A	a	B	B	b	A	B	A
$X_{2,t}$	0	1	1	0	1	1	1	0
$Y_t$	(C,0)	(c,1)	(B,1)	(C,0)	(c,1)	(A,1)	(B,1)	(C,0)
								
$X_{1,t}$	A	a	B	B	b	A	B	A
$X'_{2,t}$	1	0	0	1	0	0	0	1
$Y_t$	(A,1)	(a,0)	(C,0)	(B,1)	(b,0)	(C,0)	(C,0)	(A,1)

# How can 1 bit of cooperation help???

[Langberg, Effros 2016]



# Blocklength-(2n+1) strategy

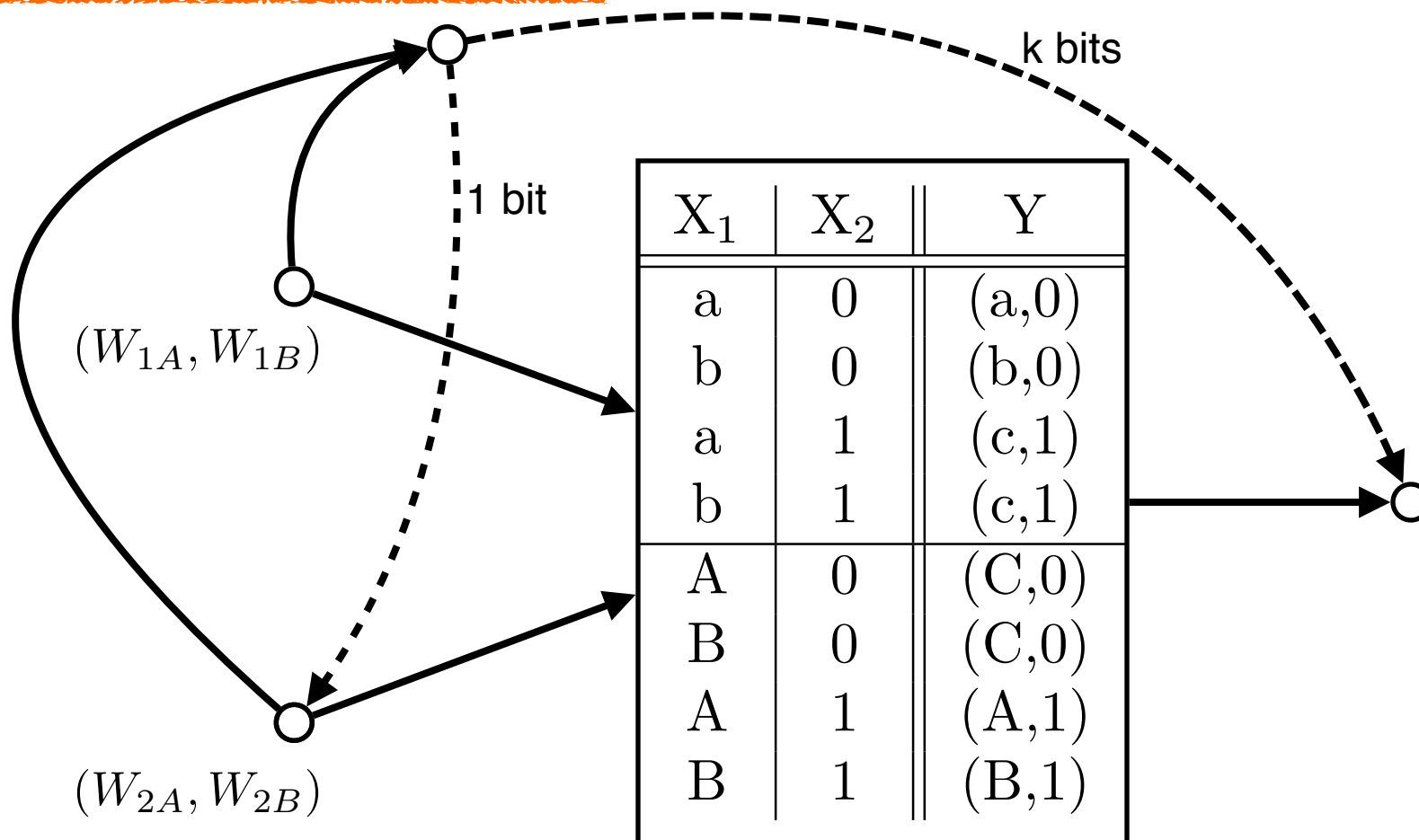


[Dueck 1978]

# Blocklength-(2n+1) strategy

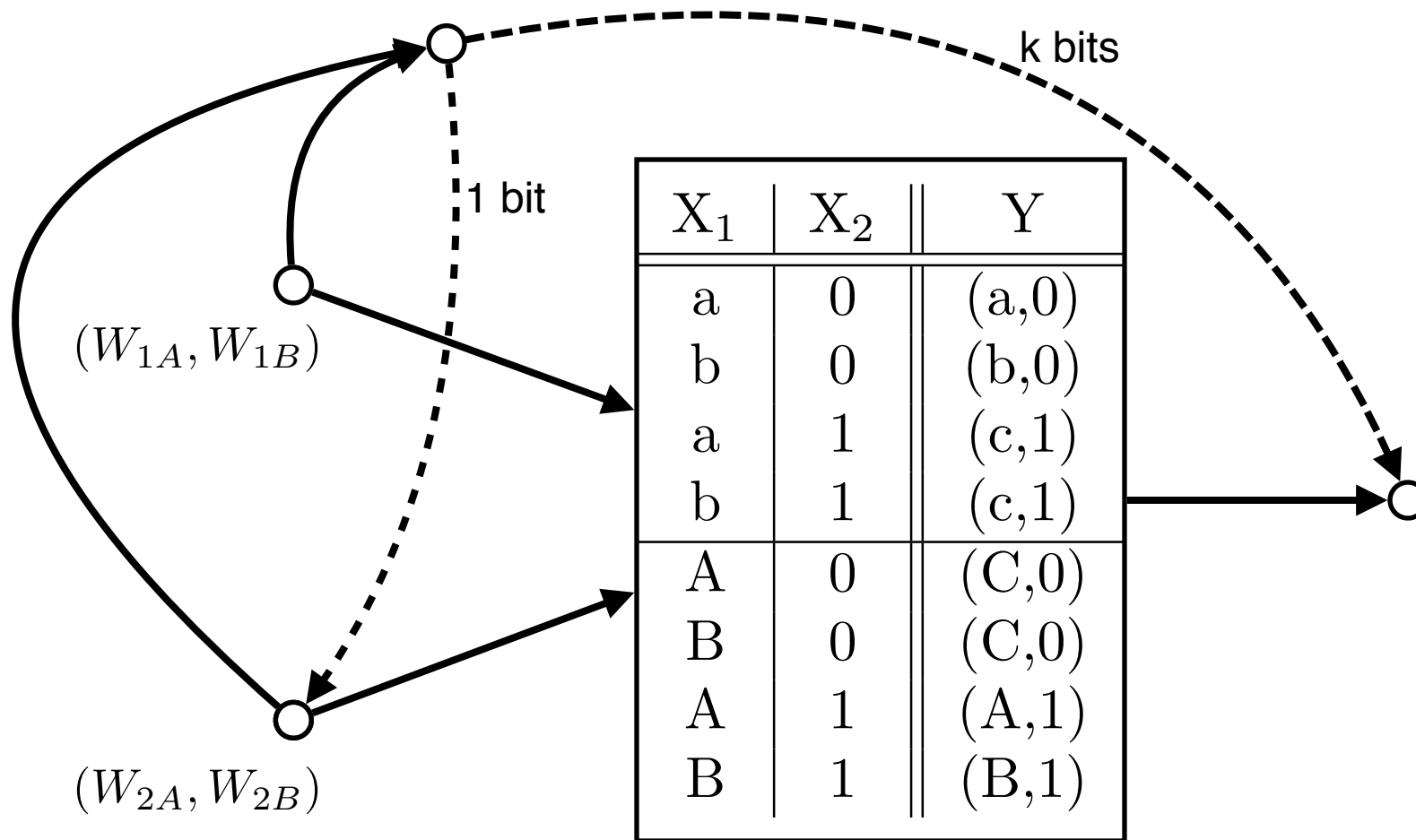
$$(R_{1,A}, R_{2,A}) \in \mathcal{C}(\text{Dueck})$$

$$(R_{1,B}, R_{2,B}) \notin \mathcal{C}(\text{Dueck})$$



[Dueck 1978]

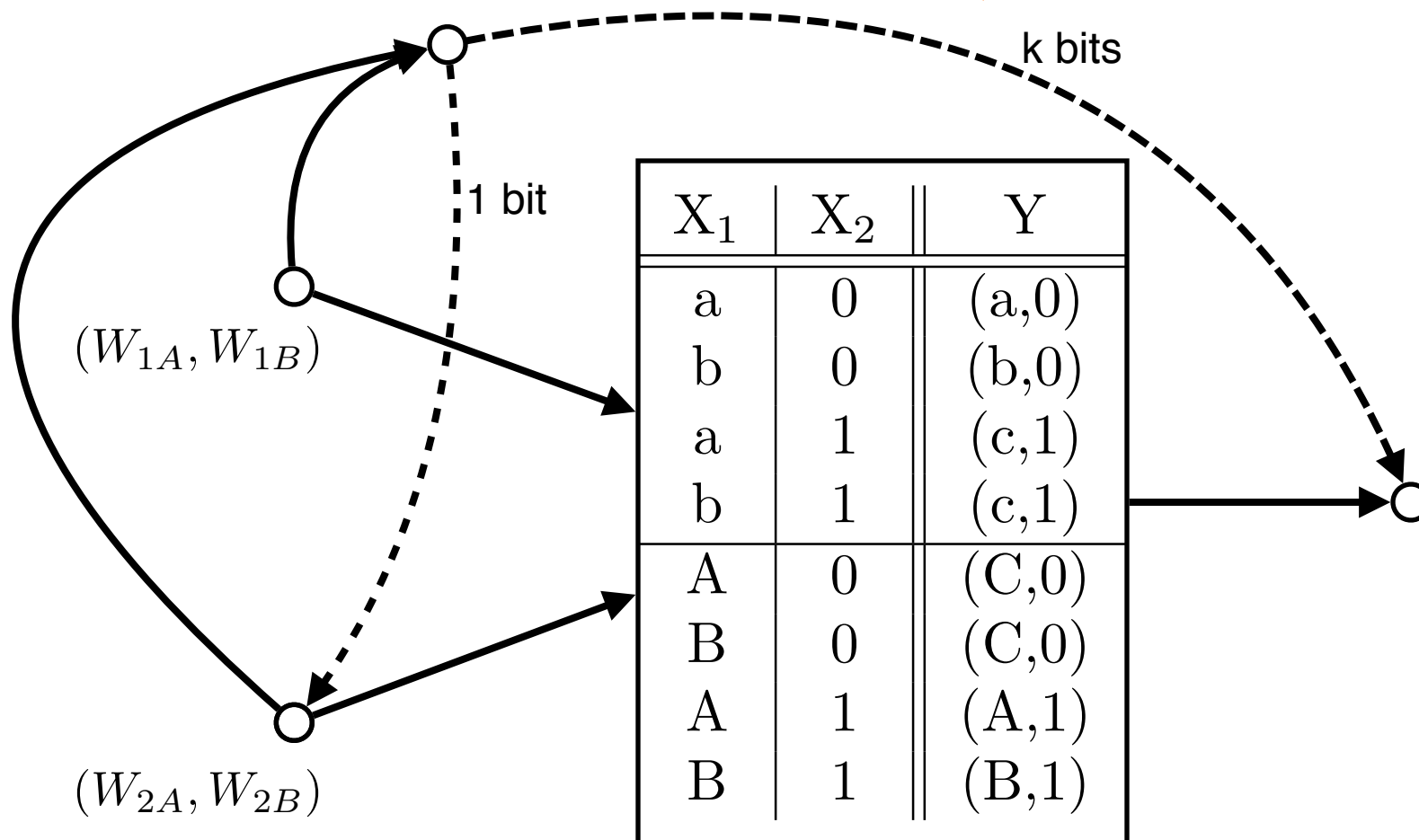
# Blocklength-(2n+1) strategy



[Dueck 1978]

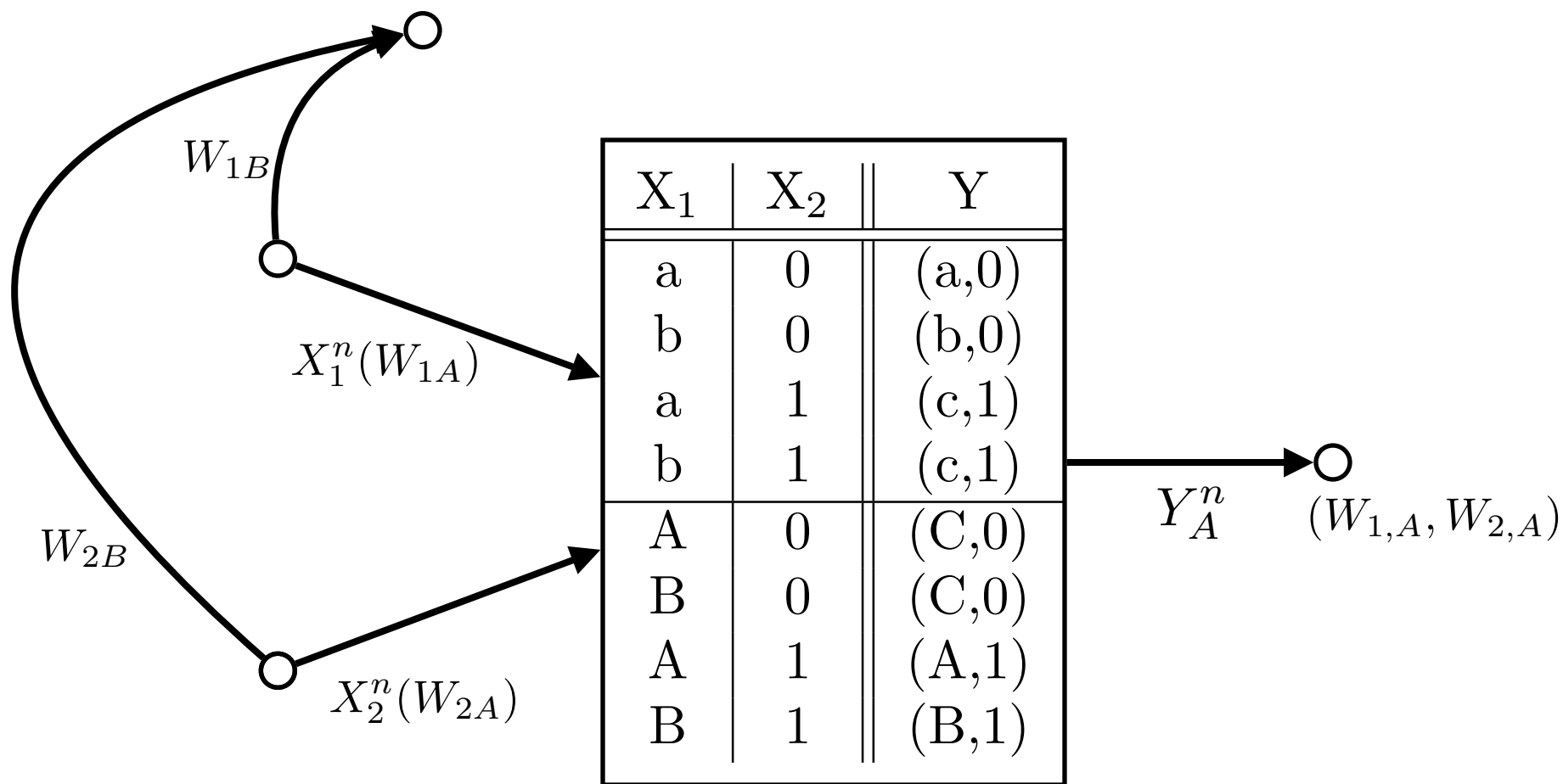
# Blocklength-(2n+1) strategy

$$\left( \frac{R_{1,A} + R_{1,B}}{2}, \frac{R_{2,A} + R_{2,B}}{2} \right) \notin \mathcal{C}(\text{Dueck})$$



[Dueck 1978]

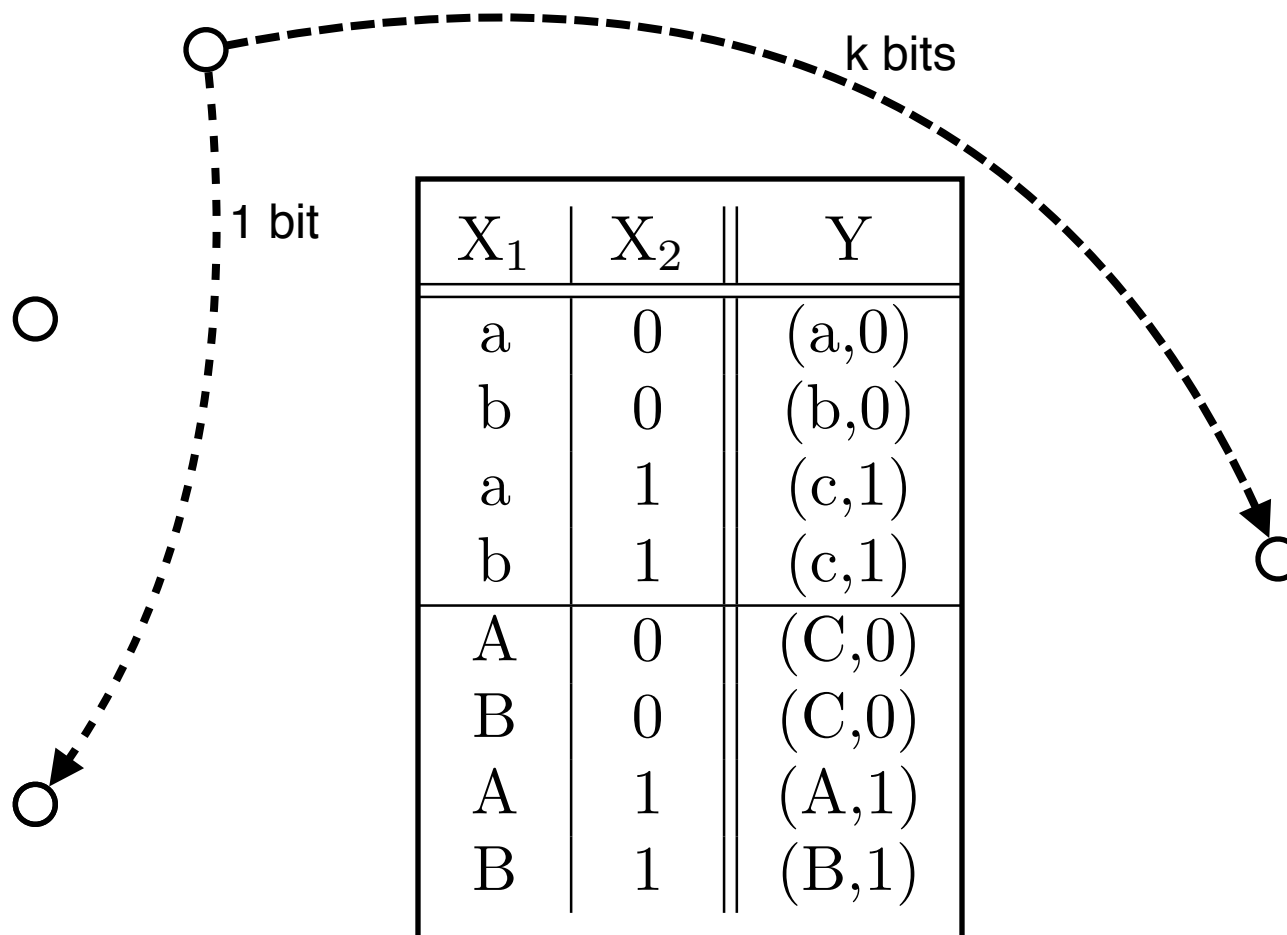
# Time steps 1,...,n



[Dueck 1978]



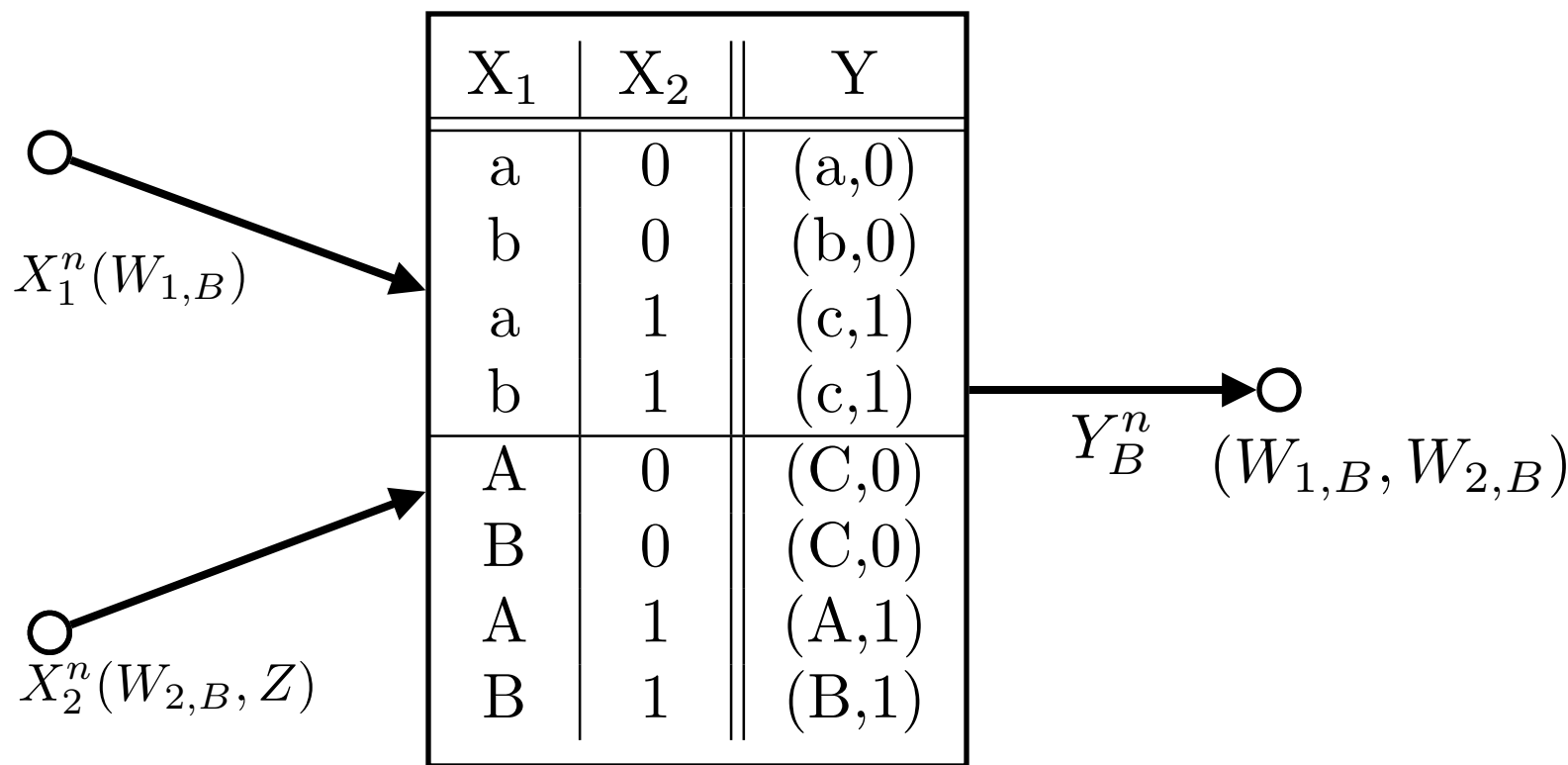
# Time step $n+1$



[Dueck 1978]

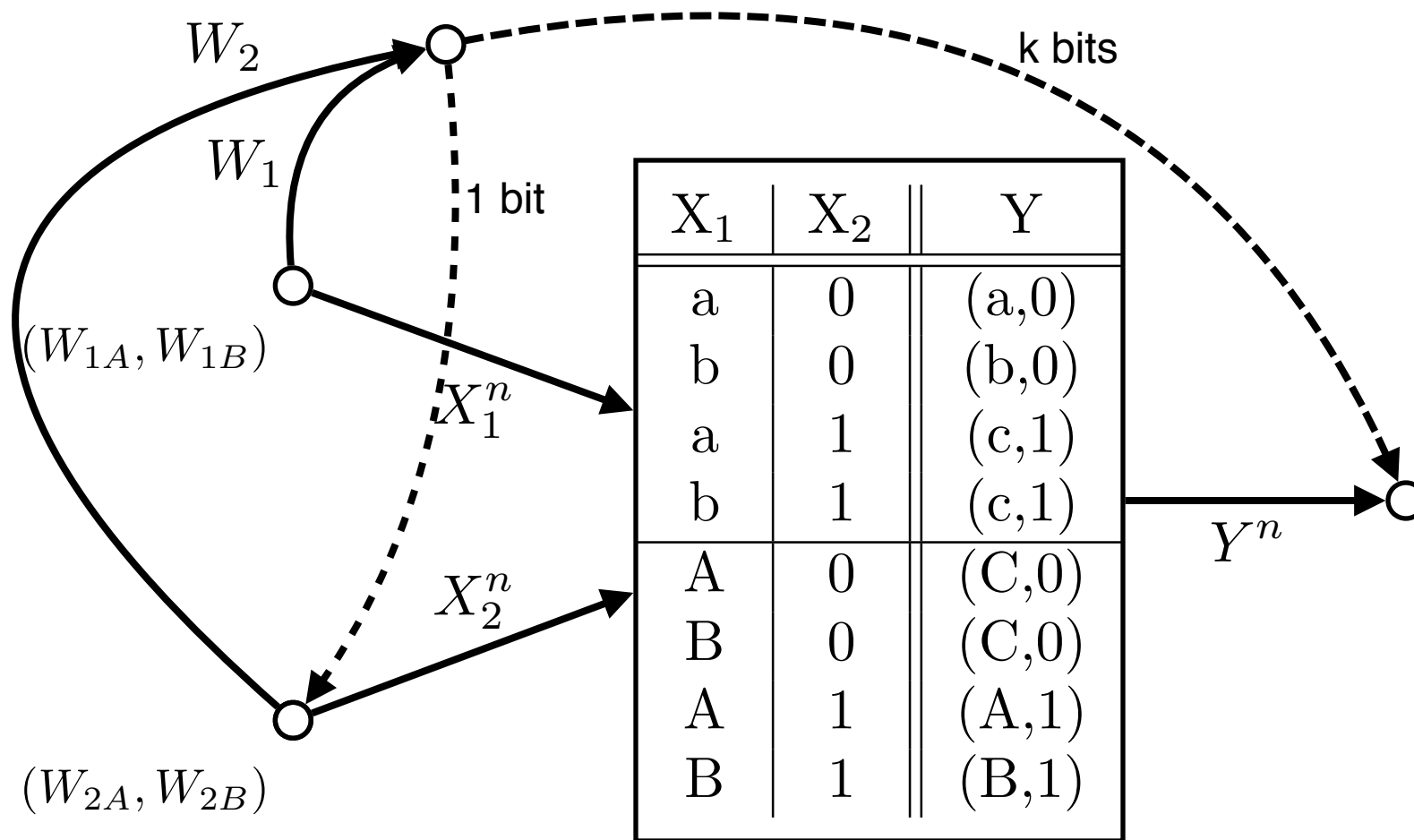
# Time steps $n+2, \dots, 2n+1$

○



[Dueck 1978]

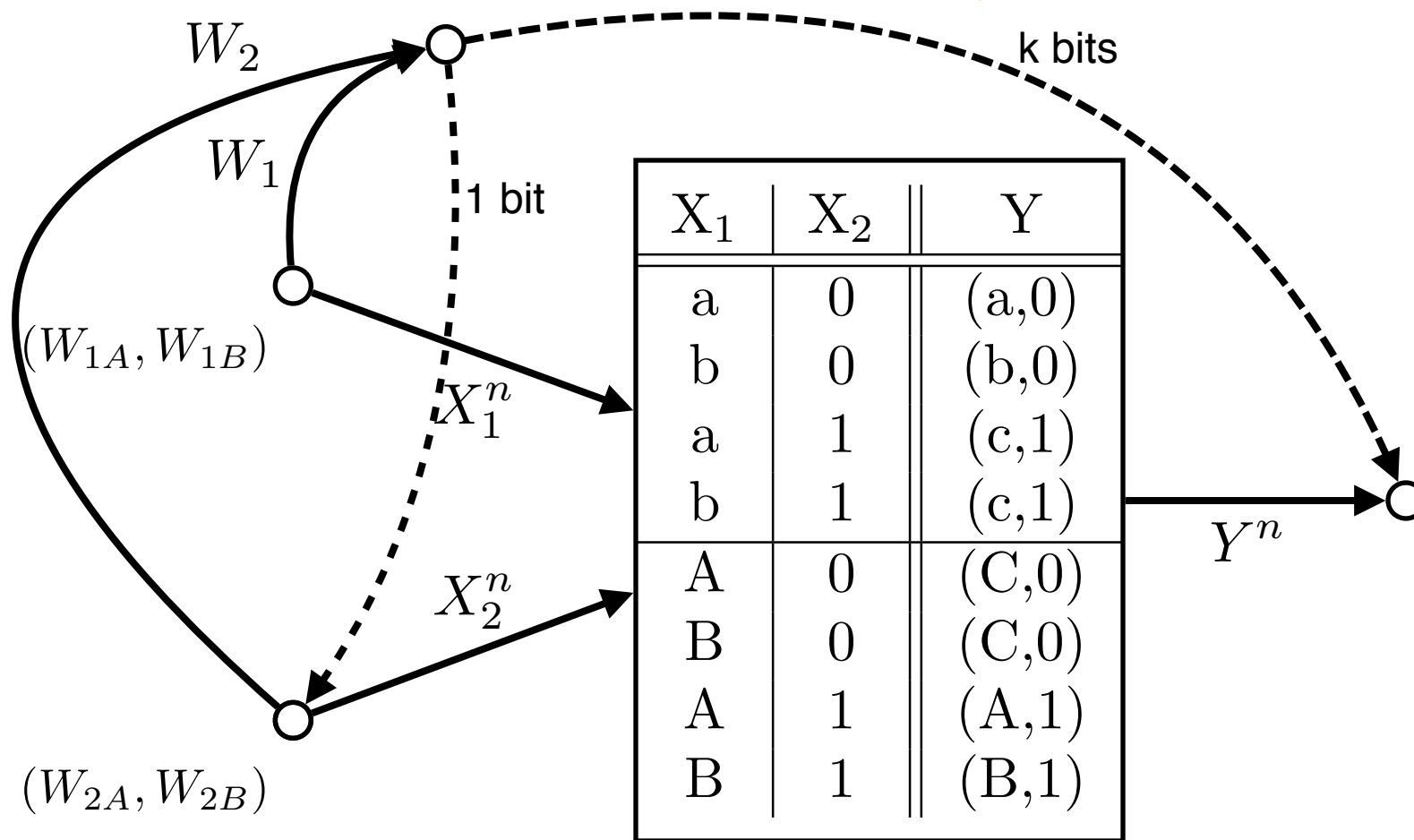
# Blocklength-(2n+1) strategy



[Dueck 1978]

# Blocklength-(2n+1) strategy

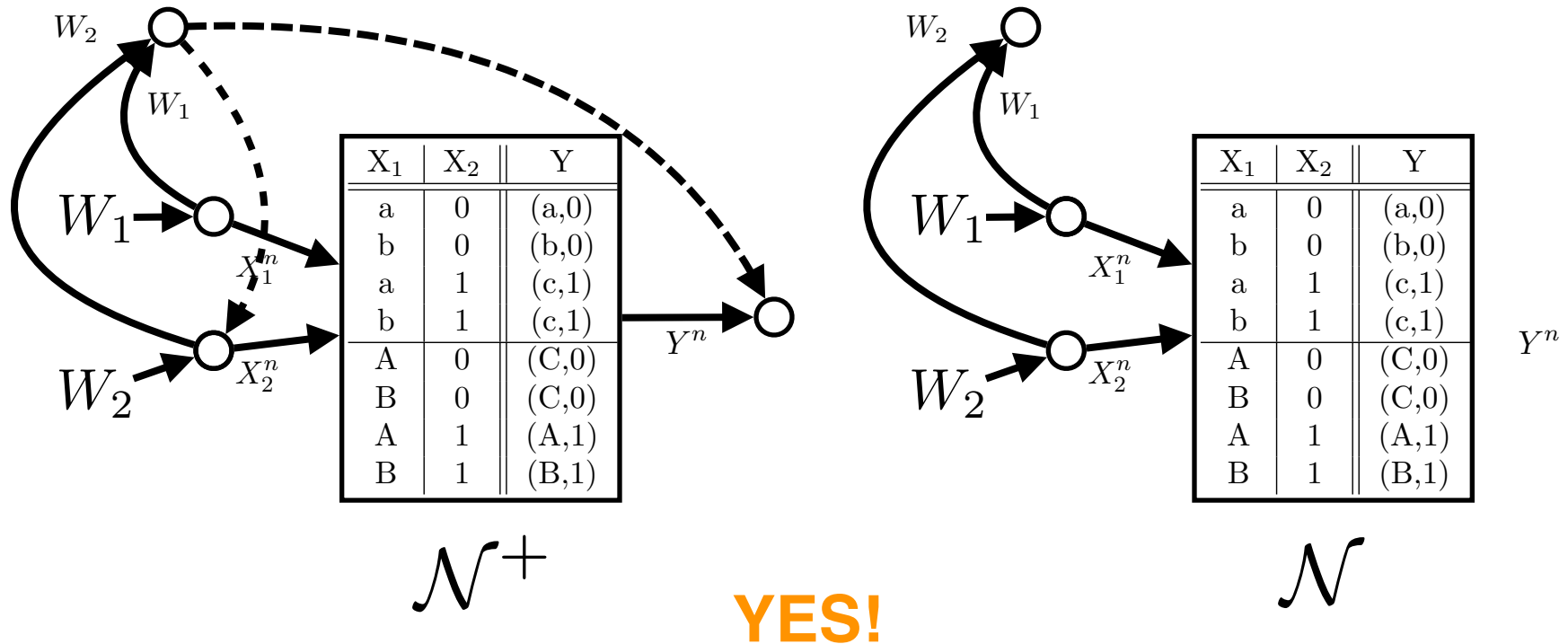
$$\left( \frac{R_{1,A} + R_{1,B}}{2}, \frac{R_{2,A} + R_{2,B}}{2} \right) \notin \mathcal{C}(\text{Dueck})$$



[Dueck 1978]

# **(k+1) bits** can change the network capacity.

## Can **1 bit** change network capacity?

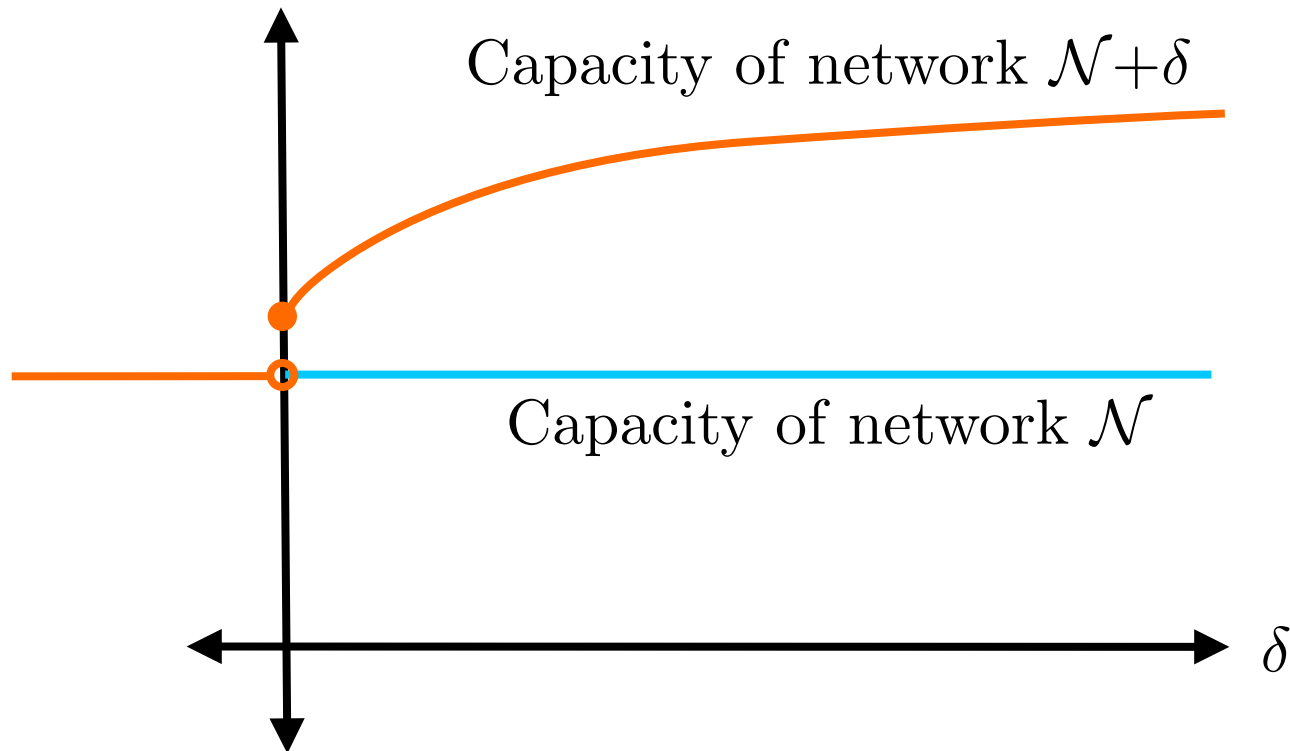


$$\text{Capacity}(\mathcal{N}^+) \neq \text{Capacity}(\mathcal{N})$$

$$C(\mathcal{N}^+) = C(\mathcal{N}_{k+1}) \supseteq C(\mathcal{N}_k) \supsetneq \cdots \supseteq C(\mathcal{N}_1) \supseteq C(\mathcal{N}_0) = C(\mathcal{N})$$

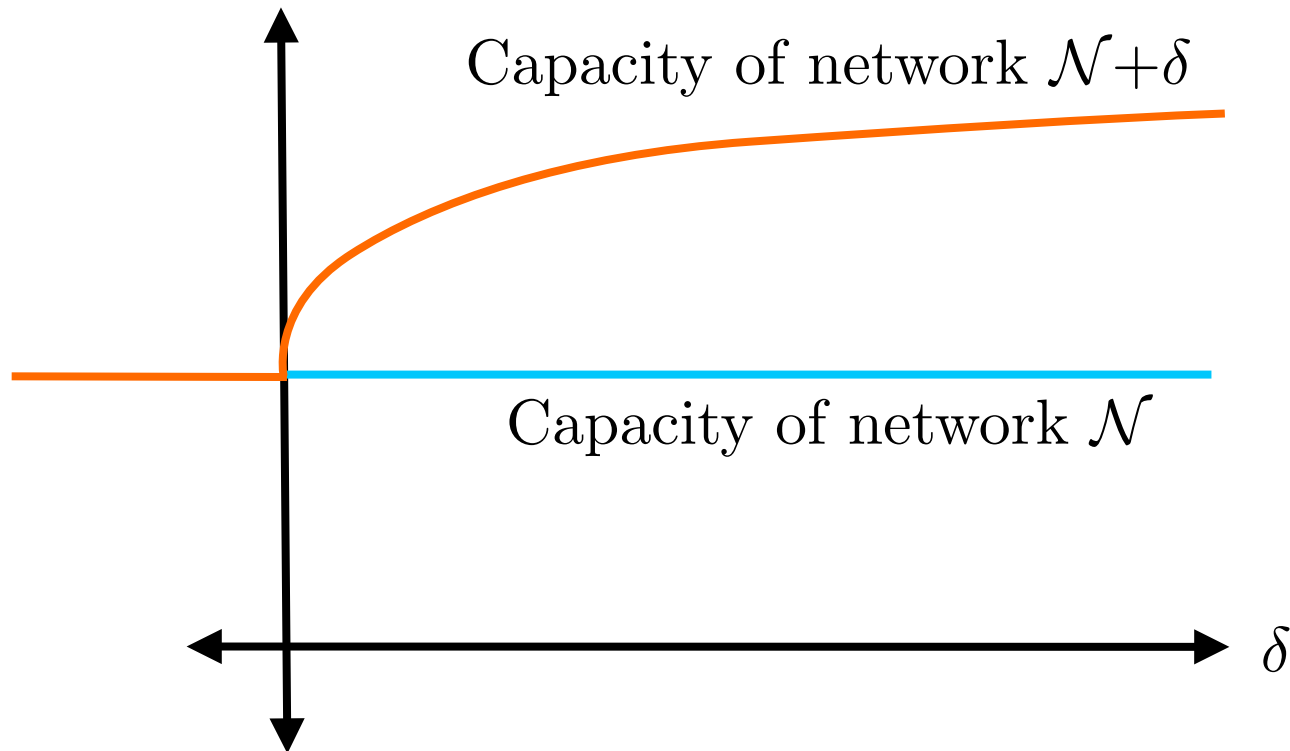
# Even **1 bit** can yield a discontinuity!

[Langberg, Effros 2016]



*\* In the max-error case.*

# Is a discontinuity possible in the **average error** case???



[Noorzad, Effros, Langberg, 2018]

# Summary

- The differential view of network capacity bounds the impact of a channel in a larger network.
- For wireline networks, it is unknown whether the benefit of a single edge can ever exceed its capacity.
  - In some cases, it provably cannot.
  - Current outer bounds likewise suggest that it cannot.
  - The question is related to other interesting unsolved questions.
- For networks with wireless connections, the impact of a single edge can FAR exceed its capacity.
  - Gap can be large.
  - Slope can be infinite.
  - Rate-0 links can help.
  - Even 1 bit can help!